

Health and Safety (H&S) Performance Graphs

1 September 2020 Board meeting
Open session



KEY TRENDS

for July 2019 to June 2020

There was an overall increase in the number of cases reported over the last 12 months (314 cases being reported in June 2020). A decrease in the average number of cases reported for this quarter compared to same time last year due to a significant lowered number of cases being reported in April in line with the pandemic lockdown.

An overall of 0.67 customer injury frequency rate for the last 12 months. There is an increased rate (0.97) in June with CRM data being included in the report and note that although designated as essential services, public transport patronage has decreased significantly over the lockdown period.

A stable trend in the lost time injury frequency rate (LTIFR) for all AT employees.



314 cases reported in June
254 average April to June 2020
282 average April to June 2019



0.97 customer injury frequency rate in June
0.67 average last 12 months



2 Lost Time Injuries in June

SUMMARY OF H&S PERFORMANCE INDICATORS

for July 2019 to June 2020



Total injury frequency rate for AT Suppliers activities

There is a stable trend in the total recordable injury frequency rate for AT operators and contractors with information provided by our external workers.



Auckland Transport employee injuries

The trend in the lost time injury frequency rate for AT employees in the last 12 months is stable.



Injuries to other persons

With the increased customer data from CRM and lowered number of patronage over the reporting period, there is an increase of customer injury frequency rates.



Monitoring and inspection

The number of inspections decreased in June when compared to May; however is slightly higher when compared to pre-lockdown levels. Inspection numbers are trending upwards when analysed over a 12 month period.



Hazard & near miss reporting

Overall there has been a downward trend in the number of hazard and near miss reports by workers since October 2019.



Drug and alcohol (D&A) testing

Compared to the previous report, the number of D&A tests being conducted has picked up in the last two months.





OPERATOR AND CONTRACTOR INJURIES FOR AT ACTIVITIES

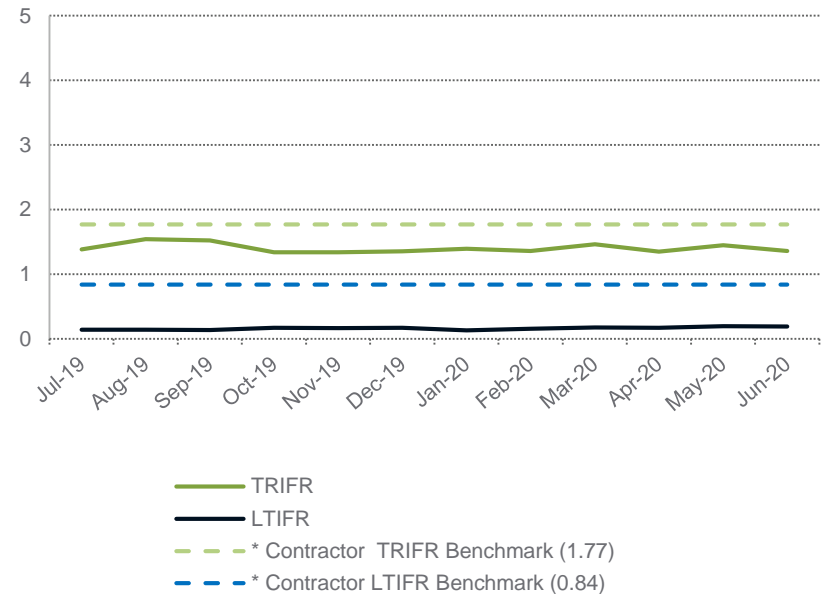
There is a stable trend in the Total Recordable Injury Frequency Rate reported by operators and contractors

There was no major event in June.

A total of 3 reported injuries involving workers of AT suppliers in June 2020 involving manual handling and slip, trip and falls (one at Orewa for Northern road corridor asset maintenance/renewal work; and the other two incidents were Downtown and AMETI project related).

- A contractor sustained a hand injury when he was laying cones at the back of a truck while doing road maintenance work at Orewa. The truck came to a stop and a stack of cones that was a little over-loaded, toppled and landed on the worker's left hand. There was an instant swelling and he began to feel very light-headed. He attended the Silverdale Medical Centre for an X-ray and physio treatment. He was approved for light duties. The contractor has ensured cones are not stacked so high in future.
- A contractor at Downtown Joint Venture project was walking at subgrade prep level in a shallow trench, when he lost his balance. The worker stretched out their hand to brace against the curbing with hand partially closed, causing an impact and resulting in a left 4th metacarpal fracture. He went to a Medical centre where the injury was x-rayed and strapped; and was back at work the following morning; albeit put on light duties. Investigation is being carried out to ensure there were no other contributing factors or tripping hazards.
- A contractor at the AMETI project site sustained a first aid injury on his knee when lifting a water pump and walking inside a container, when he tripped on a 100mm ducting bend. He was assessed at a Medical centre for the bruising.

Injury frequency rate for AT Suppliers Activities (per 200,000 Hours Worked)



* Contractor frequency rate benchmarks are based on 200,000 hours worked extracted from 2018 Benchmarking Report (Business Leaders' Health & Safety Forum (Zero Harm Workplaces)).

** For definition of TRIFR and LTIFR, please refer to the Appendix 1 in the Closed Report.





AT EMPLOYEE INJURIES

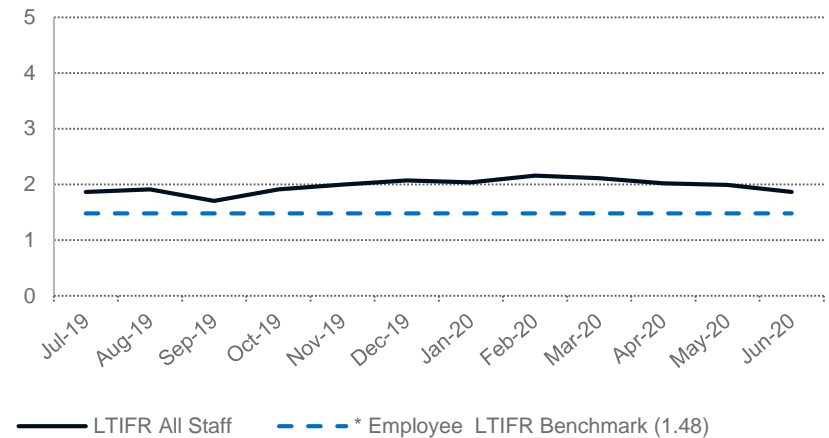
The overall trend is stable for the Lost Time Injury Frequency Rate (LTIFR) for AT employees

There were 3 new AT staff injuries which resulted in time away from work for 2 in the month of June, as follows:

- A parking officer suffered a lumbar sprain and possible knee condition from chalking vehicles, resulting in a four-day lost-time injury. It is noted that his incident report was back-dated, with questionable validity. Coaching was provided to the area supervisor on how to manage accountability amongst his parking officers. He completed a 'feedback fit' discussion with his officer on following protocol and timely reporting of incidents.
- A parking officer suffered a shoulder and lumbar sprain from an awkward posture during the lifting of a bus camera kit from his car. The parking officer had a follow up practical education session on correct manual handling during lifting of this 25kg equipment in and out of his vehicle. The Occupational Health and Safety Specialist (OHSS) has reviewed manual handling techniques with other members of the bus lane team, and a video demonstration of best practice has been made which will be shared with the bus camera team in their next meeting; to ensure consistency and safe practices are followed

AT's OHSS is working with the managers to implement more injury prevention and well-being strategies and further training for people leaders on managing workplace injuries is planned.

Injury frequency rate for all AT staff
(per 200,000 Hours Worked)



* Employees frequency rate benchmarks are based on 200,000 hours worked extracted from 2018 Benchmarking Report {Business Leaders' Health & Safety Forum (Zero Harm Workplaces)}.

** For definition of TRIFR and LTIFR, please refer to the Appendix 1 in the Closed Report.





INJURIES TO OTHER PERSONS (CUSTOMERS & GENERAL PUBLIC)

Reported injuries to customers and the general public due to AT activities is variable

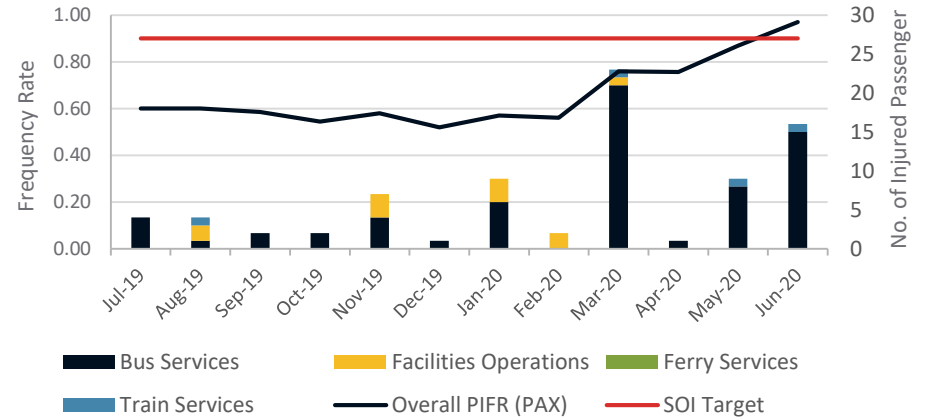
Passenger and customer injuries that were recorded in CRM only, have been included in the reported figure since March 2020.

There were a total of 23 customer injury related cases captured for June; where 16 were public transport passengers and 7 were general public members over the reporting period.

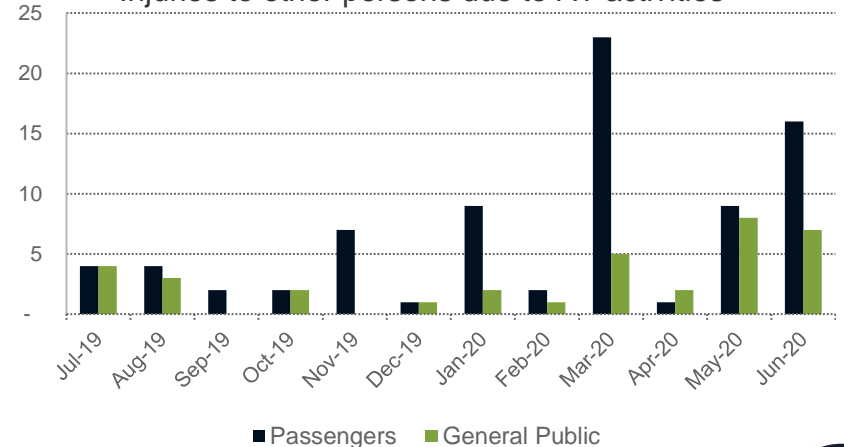
The majority of public transport injuries involved buses such as driver driving behaviour, customer slip, trip and fall and door closings on the passengers when boarding the bus vehicle. CRM data also showed some road customer injuries resulting from broken footpath or potholes on the footpaths as trip hazards which have resulted in slip, trip and falls. These cases are being investigated by road maintenance team.

Where possible, contact has been made to follow up on the welfare of the individuals concerned.

PT Customer injury frequency rate (per 1,000,000 PAX trips YTD)



Injuries to other persons due to AT activities





MONITORING AND INSPECTION

H&S Monitoring and Inspections (physical works)

The number of completed inspections has decreased to 244 in June from 407 in May as inspections settled to normal levels post-COVID-19. Eliminating the dip and spike in April and May, the number of completed inspections continues to trend upwards.

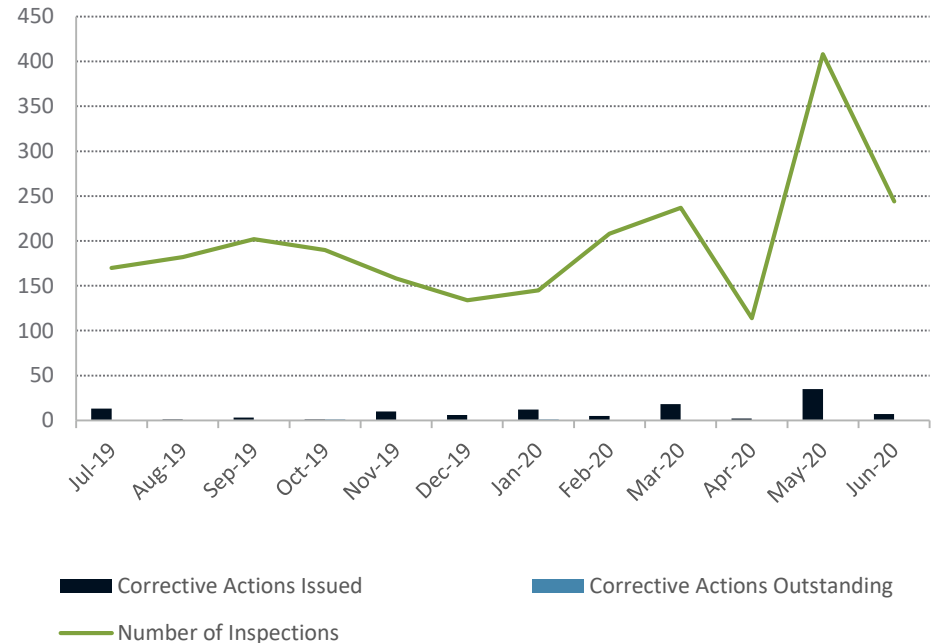
Corrective actions are classified as major or minor. Minor actions are those that are typically corrected on the spot, unless there is a significant threat to health and safety. Major actions usually have a longer resolution period, with specific follow up required to ensure that they have been adequately addressed.

In June, three major and four minor corrective actions were recorded. On one site it was found that while the site was safe, the traffic management plan was not setup correctly. On another site, motorists were not following the temporary speed limit. This is the most common observation across AT work sites, and is often not even recorded as requiring action. The final major action was for a service strike that had not been recorded on Synergi.

The minor actions were around traffic management compliance and wearing task specific personal protective equipment.

All corrective actions were resolved.

H&S inspection and monitoring



NEAR MISS, UNSAFE BEHAVIOUR/ CONDITION REPORTING

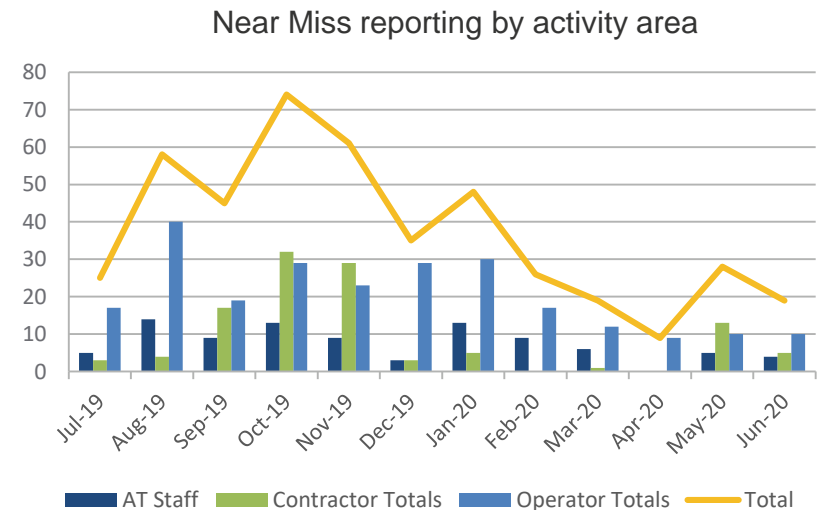
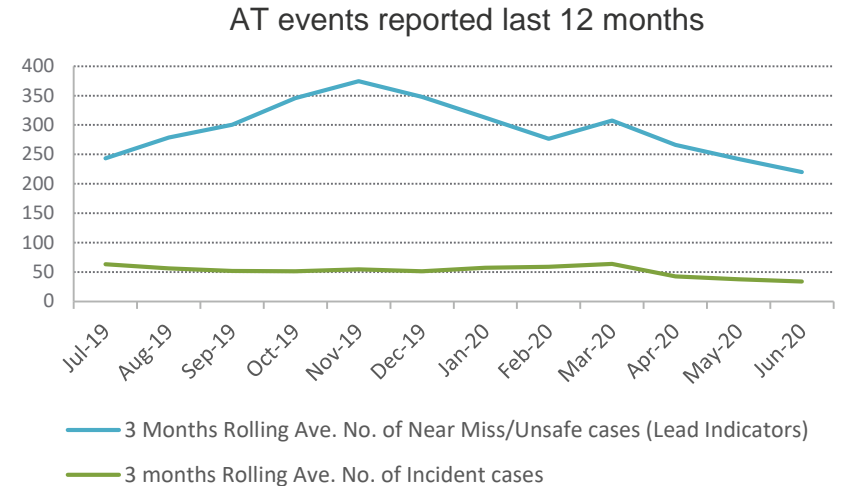
Near Miss, Unsafe Behaviour/Condition Reporting

Compared to the previous 12 months, there has been an overall increase in the number of cases reported. There has been a downward trend in reporting since February this year with a lowered number of reporting (approximately by half in April) compared to the previous months due to reduced work capacity and activities over the pandemic lockdown period. As expected, with the recommencement of works at the end of April, the number of cases reported have continued to picked up in June (314 cases reported in June).

While 80% of the total H&S events reported over the last month were lead indicators (near miss or unsafe behaviour/condition events), there has been a continued downward trend overall in near miss reporting from external workers since October 2019.

We continue to encourage staff and suppliers to report these lead indicator events in our H&S Blog on the AT Intranet, and will focus in particular on contractor engagement with near misses.

The H&S team are proactively encouraging reporting at all levels.



DRUG AND ALCOHOL TESTING

Drug and alcohol testing

Drug and alcohol testing is performed by contractors and operators including tests for 'reasonable cause' and post incident.

Drug and alcohol testing numbers (contractors and operators) were stable (with the exception of April) being a lockdown period for contractors; and some external providers unable to upload their data due to their inability to access Synergi. There was a total of 263 tests completed for external workers with 1 'not negative' random test THC cannabis (marijuana) that was found with a subcontractor. He was subsequently removed from site and subjected to internal disciplinary procedure by his home company

Over the last 12 months to June 2020, 44 pre-employment tests for AT staff (in safety-sensitive roles) were performed with zero 'non-negative' results.

