



Auckland Transport Delegations Register



Contents

Part A: Introduction	3
Background	3
Delegations Policy	3
Reviews and updates of the Delegations Manual	4
Delegations Framework	4
Part B: Delegations Policy	6
Introduction	6
Purpose	6
Scope	6
Policy Principles	7
Definitions	9
Roles and Responsibilities	9
Supporting Information	10
Approval & Review	10
Part C: Board Delegation to the Chief Executive	11
Part D: Financial Delegations to Auckland Transport Employees	13
Part E: Delegations of Operational Responsibilities, Duties, Functions and Powers to Auckland Transport Employees	28
Part F: Delegations Relating to the Traffic Control Committee	68
Part G: Delegations between Auckland Transport and Auckland Council	74
Part H: Other Delegations	87



Part A: Introduction

Background

This part of the Delegations Register presents introductory and background information for the Delegations Register including reference to delegation principles which are contained in the Delegations Policy.

This document is the Delegations Register for Auckland Transport (the Register). The purpose of this Register is to set out Auckland Transport's (AT) delegations given to officers in relation to certain administrative and financial matters, AT's statutory duties, responsibilities and powers. The Register is structured in 8 parts:

Part A: INTRODUCTION	Part A contains introductory and background information for the Delegations Register including reference to delegation principles which are contained in the Delegations Policy.
Part B: DELEGATIONS POLICY	Part B contains the Delegations Policy which outlines AT's overarching delegations' principles.
Part C: BOARD DELEGATION TO THE CHIEF EXECUTIVE	Part C contains the Board's delegation of duties, functions and powers to the Chief Executive.
Part D: FINANCIAL DELEGATIONS TO AUCKLAND TRANSPORT EMPLOYEES	Part D contains the Chief Executive's financial delegations to AT employees. These include limits relating to operating expenditure, capital expenditure within approved budgets, capital expenditure additional to approved budgets and contingency expenditure and other authority and procedures relating to other financial and accounting matters.
Part E: DELEGATIONS OF OPERATIONAL RESPONSIBILITIES, DUTIES, FUNCTIONS AND POWERS TO AUCKLAND TRANSPORT EMPLOYEES	Part E contains the Chief Executive's non-financial delegations to AT employees including: general administrative delegations relating to human resource matters, the release of information, and legal proceedings; and regulatory delegations relating to AT's functions, duties and powers under various legislation.
Part F: DELEGATIONS RELATING TO THE TRAFFIC CONTROL COMMITTEE	Part F contains the Board's delegations to the Traffic Control Committee and the Chief Executive's appointment/variation of Traffic Control Committee members.
Part G: DELEGATIONS BETWEEN AUCKLAND TRANSPORT AND AUCKLAND COUNCIL	Part G contains various delegations between Auckland Transport and Auckland Council as follows: <ul style="list-style-type: none">• Auckland Transport Board delegation to Auckland Council in relation to street trading and other activities in public places• Auckland Council delegation to Auckland Transport in relation to parking of vehicles off a roadway• Auckland Council delegation Auckland Transport in relation to the Public Works Act 1981• Auckland Council delegation to Auckland Transport General Counsel in relation to notice to acquire land• Auckland Council delegations to Auckland Transport in relation to Maritime Functions (Harbourmaster)• Auckland Council delegations to Auckland Transport in relation to off-street parking
Part H: OTHER DELEGATIONS	Part H contains other delegations as follows: <ul style="list-style-type: none">• Chief Executive's delegation in relation to Auckland Joint Modelling Centre (JMAC)

Delegations Policy

Delegation is the giving of authority to exercise a responsibility, duty, function or power by one party (the Delegator) to another party (the Delegate). For the purposes of administrative efficiency and expediency when conducting its day-to-day business, the Board delegates certain statutory duties, responsibilities and powers to the Chief Executive. Likewise, the Chief Executive delegates certain duties and responsibilities to AT staff. These delegations are a necessary operational requirement to promote effective and expeditious decision-making. Delegations avoid administrative delays and inefficiencies that might otherwise occur if all matters must be referred to the Board or Chief Executive every time a decision needs to be made.

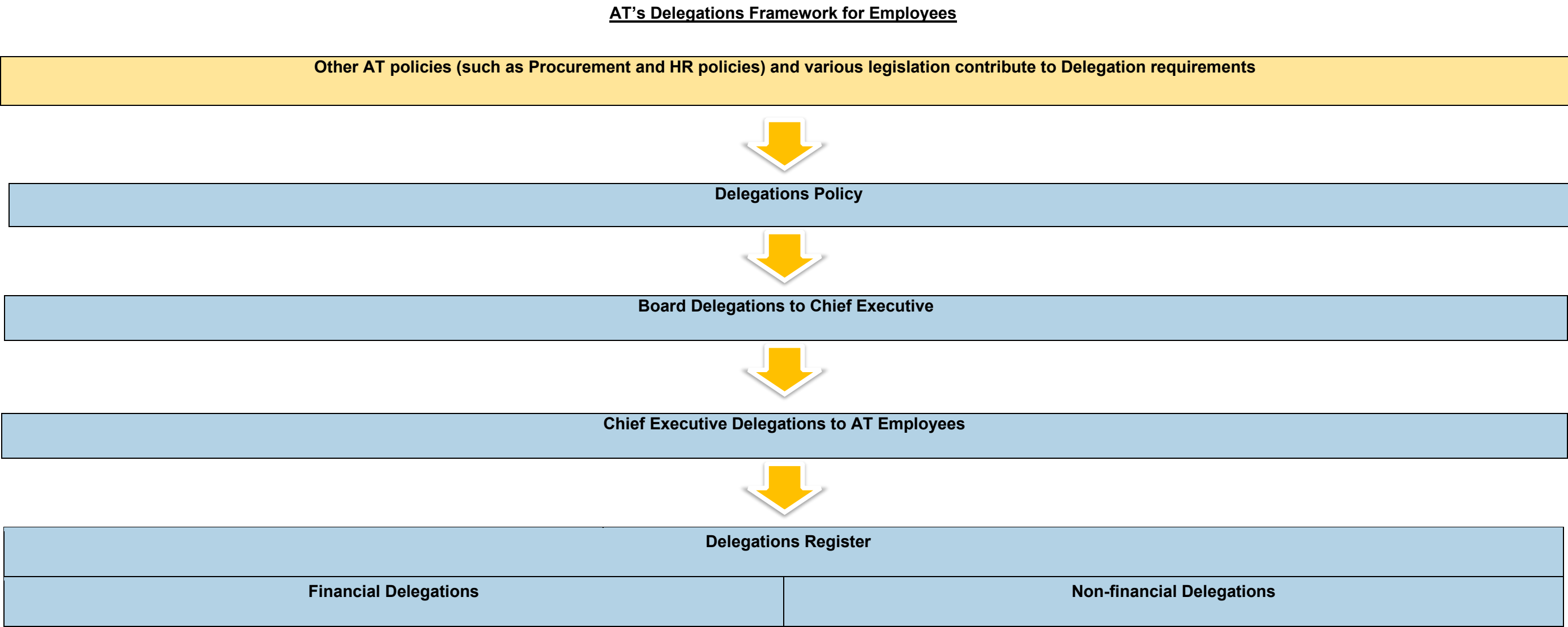
The Delegations Policy sets out the principles and parameters for the exercise of all delegations (financial & non-financial) by AT employees. The Board or Chief Executive in their determination as to duties, responsibilities and powers to be delegated will have regard to the principles outlined in the Delegations Policy. In the exercise of any delegation, the delegate (i.e. the person given the delegation) must comply with the principles and general delegation terms and conditions, which are outlined in the Delegations Policy.

Reviews and updates of the Delegations Register

The Delegations Register is a living document and it will be reviewed periodically and when legislative change requires amendments. The Register has been developed to record all current delegations made by the Board or Chief Executive. The general terms and conditions of this Delegations Register provides that a responsibility, duty or power delegated to an officer holding a named position is also delegated to any officer who performs or exercises the same or substantially similar role or function, whatever the name of his or her position. Any changes in position names must be approved by the Chief Executive and this Register will be updated accordingly. The Chief Executive may authorise changes and updates to any Chief Executive delegations or matters which he or she has sub-delegated.

Delegations Framework

The diagram below provides an overview of AT's Delegations Framework for delegations within AT.



Policy

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Part B: Delegations Policy

Introduction

Delegations are essential to Auckland Transport (AT) being able to perform its functions and operate effectively and efficiently. The Board may delegate AT's powers, responsibilities, duties and functions to committees or persons, or to Auckland Council (with some specific exceptions). The Board delegates all operational powers to the Chief Executive who then sub-delegates those powers to AT employees. The Board remains liable and legally responsible for the performance of any function or duty of AT that it delegates.

Ensuring that AT employees clearly understand the nature and limits of their delegated powers and how to exercise them appropriately is critical to managing AT's legal, financial and reputational risk. This policy sets out principles and parameters for the exercise of all delegations by AT employees. It covers financial delegations as well as non-financial delegations. It also supports the central management of delegations within AT.

Purpose

The overarching intention of the policy is to clarify accountability and responsibility for the day-to-day operation of AT. The policy outlines the delegations principles that:

- Provide a framework for the making and exercising of delegations within AT to support sound, efficient and effective decision making;
- Ensure that delegations within AT are made and exercised in accordance with law;
- Provide a consistent set of principles for both financial and non-financial delegations;
- Ensure that delegations are exercised as intended by the Board;
- Provide clarity and guidance to all AT staff on the limits of delegated authority;
- Support the central management of delegations within AT.

The policy also:

- Establishes the levels of authority delegated to duly appointed AT office holders and staff;
- Ensures that there is a set of clear and unambiguous directions in the matters of authorisations and quantification of expenditure, personnel matters and general business matters encountered by AT on a day-to-day basis; and
- Facilitates compliance with relevant directions from Auckland Council and other stakeholders.

Scope

These delegations cover:

- AT operational activities and expenditure;
- Capital programs and expenditure;
- Human resource and other administrative delegations; and
- Regulatory delegations.

All delegations (whether financial or non-financial) from the Board or Chief Executive to any person within AT must be made and exercised in accordance with principles and limits outlined in this Policy.

Delegation policy principles and limits apply to:

- AT Board Directors;
- All AT employees;
- AT representatives:
 - Contractors & consultants
 - Agency temps (in accordance with the terms of their supplier agreement with AT)
 - Staff on secondment from other organisations/agencies
 - Volunteers

Policy Principles

The following principles apply to all delegations (financial and non-financial) made by the Board or Chief Executive to any person within AT:

Making Delegations

- Delegations are made to roles, not to individuals in their personal capacity and automatically apply to the person appointed to that role. No additional documentation is required beyond acceptance of the employment agreement for the role. The delegations follow a one-up and tiered approach.
- It may be necessary for someone to exercise a delegation when the holder is on leave. This is achieved by nominating someone to act in the role temporarily using the approved process (including any time periods). Delegations may only be sub-delegated down one level. By acting in that role, they will then assume the powers and responsibilities that go with that position. If someone is not being nominated or appointed to the role temporarily, then the delegation will be held by the one-up manager of the original holder. It is the responsibility of the original holder to ensure any temporary delegations are given to someone who is suitably qualified.
- Delegations will only be held by AT employees.. It will also include fixed term employees.
- Contractors and consultants may not hold or exercise delegated authority, however they are still bound by the policy and these principles.
- Delegations to roles that are subsequently disestablished or changed may be exercised by a person in any replacement role that is substantially equivalent to the original role.
- Unless specifically time-limited, a delegation will continue in force until revoked or varied by the Delegator or the Board.
- Powers that are only exercisable by resolution will not be delegated to individual roles (such powers may only be delegated to committees).
- The delegation of financial powers enables AT's work and service objectives as set out in the Regional Land Transport Plan (RLTP) and Annual Plan to be achieved. All business expenditure should be exercised within the framework set out in the Chief Executive's Financial Delegations to Auckland Transport Employees.
- Financial delegations will refer to both a financial (dollar) value and the purpose or scope of the relevant expenditure.
- Delegated financial limits will be GST exclusive unless expressly specified otherwise.
- .

Exercising Delegations

- Staff who hold delegated authority are expected to exercise the powers, authorities, duties or functions delegated to them in a responsible, efficient, consistent and cost-effective manner, in accordance with AT's Code of Conduct, policies and applicable legislation.
- Staff who hold delegated authority have a reciprocal obligation to keep their manager and key members of the organisation informed of delegations exercised.
- Delegates will be individually responsible for the exercise of the powers, functions, responsibilities or duties that have been delegated to them.
- A delegation may be exercised by a person lawfully acting in the role holding the delegation.
- When a Delegate is exercising a delegation in an acting capacity, this will be expressly stated.
- Delegations are also held and may be exercised by persons holding more senior roles in the Delegate's direct reporting line.
- Where there is any ambiguity between the wording of a legislative function and the delegation of that function, the wording of the legislation will prevail. A delegation made under legislation that is subsequently repealed will be read as a delegation made, with or without modification, under any replacement or corresponding legislation.
- Financial delegations may only be exercised by individual Delegates. Committees and other groups of people may not exercise financial delegations.

For example: A decision by a Project Control Group (PCG) is an endorsement but an employee holding a delegated financial authority must still make the decision. The accountability for the decision sits with the manager exercising the delegation.

- Financial delegations may only be exercised within approved and available funds contained in the Board approved Annual Plan (or reforecast) or Regional Land Transport Plan (for multi-year commitments).

For example: Prior to deciding to award a contract which is binding in future years, the DFA must follow applicable processes to obtain confirmation that funding for future years will be available.

- Financial delegations can only be exercised for budgets for which the person exercising the delegation is responsible.

For example: Inclusion of a capital project in a Board approved Annual Plan (or reforecast) or Regional Land Transport Plan (for multi-year commitments) is not approval of the capital project nor approval for the use of the budget held for that specific capital project.

- Projects must be approved prior to any financial delegations being exercised in relation to the delivery of the project or program. For roading projects involving new or changed traffic controls there must be Traffic Control Committee resolutions to approve those controls prior to financial delegations being exercised to start construction.
- Financial delegations will be exercised at the first point at which AT becomes committed to spending.

For example:

- *the creation of a contract; or placing a purchase order requires the exercise of a delegated financial authority; or*
- *placing a subsequent order against an already approved contract; or*
- *approving an invoice for payment where no prior approval for the expenditure exists.*

- The entire value of the financial decision, including past decisions and contingency costs, must fall within the delegated financial limit. If the actual value of the decision is not known at the outset, then the most likely value must be used.

For example:

- *a decision to send an employee to a 2-day course in another city will be the total value of course fees, air fares, accommodation, meals and other costs, not a separate set of decisions each of lesser value;*
- *this also means that once a delegation holder has approved attendance at the course, subsequent approvals of course fees and travel do not require a delegation holder; they are simply administrative functions giving effect to an existing decision;*
- *a decision to enter into a contract for \$100,000 per annum for 5 years is a \$500,000 decision;*
- *a decision to extend an \$800,000 contract by a further \$400,000 is \$1,200,000 decision;*
- *where the full value is not known at the start of an engagement (e.g. employing a contractor at an hourly rate to complete a piece of work) the most likely value should be used. This should be evident from the business case.*

- The purpose or scope of the expenditure will be evidenced by an approved business case, a procurement plan, a draft contract, or, for lower value items, a purchase order.
- Financial delegations may only be exercised following any specified conditions of the delegation (for example, quality assurance requirements such as pre-approval by Procurement) being satisfied.
- Financial delegations may not be split, shared or exercised jointly.
- Any exercise of a financial delegation will be recorded in writing.
- No Delegate may:
 - Approve self-related matters (e.g. authorise expenditure or expenditure reimbursement to themselves, certify their own timesheets, authorise their own higher duty allowance, overtime or annual or long service leave);
 - Approve matters that are for a staff member for whom the Delegate has no responsibility unless the authority is otherwise specifically delegated for administrative purposes; and
 - Split items or orders to bring them within any limit of their position's administrative responsibility/or to avoid purchasing requirements e.g. three (3) quotes for purchases over \$50,000 (Incl. GST).
- The authorising person for the above, and other matters of a like nature, shall be a more senior member of staff unless otherwise specifically delegated for administrative purposes.

Mandatory Quality Assurance

For some decisions a mandatory quality assurance is required. This may be prior approval required from Legal, Procurement, the Chief Financial Officer or the Director People & Performance. Project Control Groups (PCG's) may also insist on reviewing decisions prior to being made. There is a formal requirement for the Engineer to a Contract to certify work. These mechanisms do not absolve the Delegate from responsibility for the exercise of a delegation. They are however mandatory steps for the Delegate to fulfil prior to exercising any decision.

Compliance

Guidance on how to apply the principles of this policy can be sought from the Group Manager Finance or the Legal Services team or by emailing delegations@at.govt.nz. Where a breach of this Policy is suspected or has occurred this must be reported to the responsible ELT Director, Chief Financial Officer and Head of Risk & Legal and, where relevant the Risk & Legal Investigation team. The breach must be reported to the next Finance and Assurance Committee meeting or immediately to Chair of the Board if the breach is significant.

Failure to comply with this Policy may be misconduct or serious misconduct in terms of AT's Code of Conduct and may result in an employment investigation in addition to any other review as to the cause of any compliance failure. The placement of electronic signatures on documents to signify approval must only occur with the express permission of the delegated financial authority holder relating to the decision being made. Internal Audit should include compliance testing of this policy and delegation limits in its annual work program.

Definitions

Term	Definition
Delegation	Giving of authority to exercise a responsibility, duty, function or power by one party (the Delegator) to another party (the Delegate).
Delegator	Person, body or entity who delegates a responsibility, function, duty or power to another person, body or entity
Delegate	Person who is delegated a responsibility, function, duty or power
Delegations Manual	Centrally managed manual containing AT's Delegations Policy and active (non-revoked) delegations within AT and between AT and external parties

Roles and Responsibilities

Role	Responsibility
AT Board	<ul style="list-style-type: none"> Actively review and direct the overall strategy, policies and delegations of AT, including this policy and the internal delegations it applies to.
Finance and Assurance Committee	<ul style="list-style-type: none"> Review and recommend to the AT Board changes to the policy and delegations. Monitor compliance activity.
Chief Executive	<ul style="list-style-type: none"> Actively review any delegations that he or she has made, including those that this policy applies to. Accountable to the Board for the performance of any functions, duties, responsibilities or powers that he or she has delegated under authority from the Board.
Executive Leadership Team (ELT)	<ul style="list-style-type: none"> Implement this policy across their business areas and make available the appropriate resources to do so. Consider any concerns raised by managers regarding delegations and respond as appropriate.
Delegates	Delegates must: <ul style="list-style-type: none"> ensure that any financial expenditure associated with the exercise of powers or functions is authorised in accordance with the principles applying to financial delegations where applicable; seek appropriate advice if they are unsure about their delegated powers or functions; act in accordance with AT's policies and procedures in exercising their delegations.
Managers	<ul style="list-style-type: none"> Ensure that their direct reports are appropriately trained to exercise their delegations; Ensure that their direct reports are aware of this policy and supporting them to comply with it. Provide guidance on the exercise of delegations to staff when requested. Escalate concerns or issues regarding this policy, or the exercise of delegations under it, to the ELT.
Chief Financial Officer	<ul style="list-style-type: none"> Review and approve any proposals for new financial delegations or variations to existing delegations.
General Counsel	<ul style="list-style-type: none"> Review and approve any proposals for new non-financial delegations, or variations to existing delegations.
Legal Services team	<ul style="list-style-type: none"> Maintain a record of all executed delegation instruments Update the Delegations Policy and/or delegations instruments when required. Provide guidance to staff on the exercise of delegations when requested.
All Employees and Representatives	<ul style="list-style-type: none"> Adherence and compliance with this policy and related procedures. Individually responsible for the exercise of any delegations they hold, regardless of endorsement or agreement from others. Guidance on this policy and the exercise of non-financial delegations should be sought in the first instance from managers and then from Legal Services. Guidance on the exercise of financial delegations should be sought in the first instance from managers and then the Group Manager Finance, the Legal Team or delegations@at.govt.nz as necessary.

Supporting Information

Legislative compliance	<div>This Policy supports Auckland Transport’s compliance with the following legislation:</div> <ul style="list-style-type: none">Local Government (Auckland Council) Act 2009any other legislation referred to in this policy or schedules
Supporting documents <i>These are Procedures, Standards and Guidelines that directly support this Policy.</i>	<ul style="list-style-type: none">AT Code of ConductProcurement Policy and related finance policies
Related documents <i>These are documents that relate to, but do not directly support, this Policy. This may include other Auckland Transport Policies, Procedures and Guidelines, or external materials such as regulatory codes and standards.</i>	<ul style="list-style-type: none">Enterprise Project Management FrameworkEffective Governance FrameworkProcurement frameworks

Approval & Review

Policy Owners: Chief Financial Officer & Head of Risk & Legal

Policy Contacts: Group Manager Finance & Legal Counsel – Public Law

Endorsed by:

Approved by:

Chief Executive

Auckland Transport Board

Approval date:

Effective date: 1 March 2024

Next review date: 30 October 2026

AT reserves the right to review, amend or add to this policy at any time upon reasonable notice to employees and representatives.



Delegations

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Part C: Board Delegation to the Chief Executive

ATD 2024/01: BOARD DELEGATION TO THE CHIEF EXECUTIVE

PURSUANT TO section 54 of the Local Government (Auckland Council) Act 2009, the Board of Auckland Transport:

DELEGATES to the Chief Executive of Auckland Transport all its statutory and operational responsibilities, duties, functions and powers except:

- a. the power to approve or adopt any policy or program that the Board is required to consult on using the special consultative procedure; and
- b. the power to approve or adopt a regional land transport plan or a regional public transport plan under the Land Transport Management Act 2003; and
- c. the power to make a bylaw under any enactment referred to in section 46(1) of the Local Government (Auckland Council) Act 2009 ("LGACA") and
- d. the power to borrow money (including extending credit beyond normal commercial terms) or the power to purchase or dispose of any strategic assets of Auckland Transport; and
- e. any duty to appoint a chief executive officer:

SUBJECT TO the financial limits and conditions set out in Schedule 1 and the following conditions, limitations and prohibitions:

1. Board approval is required for:
 - a. any proposal that might reasonably be foreseen to attract significant adverse publicity, reputational risk, or risk of legal action; and
 - b. any proposal that materially changes the scope of items previously approved by the Board.
2. The responsibilities, duties, functions and powers delegated to the Chief Executive by this delegation are limited to those not otherwise specifically delegated by the Board to any other person, committee or to Auckland Council.
3. The Board may exercise any function or power delegated by this delegation.
4. The Board may revoke, alter or vary this delegation at any time.
5. Deeds are required to be executed by at least two members of the Board.
6. Subject to the conditions, limitations and prohibitions that are imposed in this delegation, the Chief Executive:
 - a. has the power to sub-delegate any of the responsibilities, duties, functions and powers delegated to the Chief Executive under this delegation to an Auckland Transport committee or employee; and
 - b. may include conditions, limitations or prohibitions in any instrument of sub-delegation.
7. Any delegations made by the Chief Executive must be consistent with the Delegations Policy approved by the Board.

THIS DELEGATION TAKES EFFECT on the date it is resolved to be made by the Board and continues in effect until it is revoked by resolution of the Board.

All previous delegations of the functions and powers contained in this delegation made by the Board are revoked on and from the date this delegation takes effect. For the avoidance of doubt, this delegation revokes the Auckland Transport Delegation Instrument ATDI 2022/01: Board Delegation to the Chief Executive made on 1 December 2022.



SCHEDULE 1: Financial limits delegated to the Chief Executive

Projects

1. The Chief Executive may only approve a project (whether capital and/or operating expenditure project) to proceed past the feasibility stage where the estimated cost of the project is \$50 million or less, unless otherwise approved by the Board.
2. Board approval is required before any project with an estimated cost of more than \$50 million may proceed past the feasibility stage.
3. The Chief Executive may approve expenditure up to \$3 million or less to complete the feasibility stage. Long lead items are not considered part of the feasibility stage.
4. The Chief Executive may approve expenditure up to \$5 million or less for unbudgeted emergency works, including emergency reinstatement, or, to address an immediate and serious Health and Safety issue. Exercise of this authority requires Board notification at the earliest available opportunity.
5. Board approved projects must be re-approved where project costs are expected to exceed the approved cost by 10% or \$5 million, whichever is lower. The approved budget must not be exceeded prior to re-approval.

Single financial transactions

6. The Chief Executive may approve financial transactions and expenditure (relating to goods, services, real estate, transport infrastructure, assets, gifts, grants, guarantees and indemnities) up to \$50 million for any single transaction.
7. The power delegated in clause 6 is conditional upon:
 - a. expenditure being budgeted for within an approved Annual Plan or Regional Land Transport Plan;
 - b. capital projects being approved in accordance with clauses 1 to 5 of this schedule
 - c. compliance with any applicable Auckland Transport policy or procedure in relation to each transaction;
8. The power delegated in clause 6 includes power to terminate or vary expenditure under any single transaction so long as the new total does not exceed \$50 million.
9. Board approval is required for any single transaction over \$50 million.

Contracts

10. Board approval is required for any contracts or contract extensions that commit Auckland Transport to expenditure beyond a 5-year total contract term (including rights of renewal) and have a total contract value of \$50 million or more.
11. Only the Chief Executive may approve a contract or contract extension that commits Auckland Transport to financial expenditure over a term where the total contract term is over 5 years and less than \$50 million. Any contract extension beyond 5 years will require clear rationale as to why it hasn't been taken to market and will require sign off from relevant ELT Director or Chief Financial Officer. Whenever the Chief Executive exercises this delegation, the Chief Executive shall report to the Board providing contract details.
12. The Chief Executive may approve contract variations for Public Transport contracts providing the current year contract value is included in the current Long-Term Plan and the Annual Plan.

Part D: Financial Delegations to Auckland Transport Employees

ATD 2023/01: CHIEF EXECUTIVE'S FINANCIAL DELEGATIONS TO AUCKLAND TRANSPORT EMPLOYEES

PURSUANT TO section 54 of the Local Government (Auckland Council) Act 2009:



I, Dean Kimpton, Chief Executive of Auckland Transport:
DELEGATE to Auckland Transport employees in the roles identified in Schedules 1 to 4 of this instrument the powers within the specified limits and upon the conditions set out in those Schedules.
In this Delegation Instrument, a reference to Kererū, Tūī or Kōtare are the delegation categories. Details of those categories can be found in the relevant appendix.

THIS INSTRUMENT TAKES EFFECT on and from the date it is signed and continues in effect until it is revoked by the Chief Executive.
THIS INSTRUMENT REVOKES the Auckland Transport Delegation Instrument ATD 2022/02 dated 30 August 2022.

Signed: _____

Chief Executive, Auckland Transport

Date: _____





Delegations

Financial

FINANCIAL DELEGATION SCHEDULES

Background

This part of the Delegations Manual contains contracting and financial delegations relating to expenditure including limits relating to operating expenditure, capital expenditure within approved budgets, capital expenditure additional to approved budgets and contingency expenditure and other authority and procedures relating to other financial and accounting matters. In these delegations a reference to categories or to Kererū, Tūi or Kōtare are the delegation categories. Details of those categories can be found in the relevant appendix.

SUBJECT TO:

- delegations made to categories or multiple roles under this instrument only being exercisable in each case by an employee in a role within the specified category or set of multiple roles (as applicable) that has responsibility relevant to the matter in the particular circumstances; and
- the delegations made by this instrument being exercisable by the specified delegates and person(s) in a higher role in the direct reporting line to the specified delegates in each case.

Schedules

Schedules 1 – 4 outline financial authorities delegated to Auckland Transport Employees. The schedules should be read in conjunction with the Delegations Policy and the Policy Principles which apply to the delegated financial authorities. The schedules are set out as follows:

Schedule	Description
1	Project Governance/Expenditure Approval
2	Procurement processes
3	Training, travel and staff expenses
4	Other decisions with financial implications



Schedule 1: Project Governance

Project Governance includes the feasibility, start up and change processes. This aligns with the [Enterprise Project Management Framework](#) and the [Project Management Policy](#).

Board approval is required before any project (capital and/or operating) with an estimated cost of more than \$50 million may proceed past the feasibility stage. The Chief Executive may approve expenditure up to \$3 million to complete the feasibility stage.

Approved projects must be re-approved when project costs are expected to exceed the approved cost by 10% or greater. Project costs refer to the accumulated to date and estimated cost to complete the project to the approved scope/outcomes. For Board approved projects this threshold is 10% or \$5 million, whichever is lower, subject to the board approved temporary delegation noted below. The total value of the reapproved project must be considered when determining the authority for re-approval.

Project Governance/Expenditure Approval

Ref:	Delegation	Kererū	Tūī	Kōtare	Notes
DFA 1.1	Approve feasibility/new business case	\$2,000,000	\$50,000 No authority for projects not included in Approved Plan	Nil	Note - for initiatives under Enterprise Project Management Framework this includes stages 0, 1 & 2.
DFA 1.2	Approve a new project	\$5,000,000	\$100,000 No authority for projects not included in approved Annual Plan	Nil	Investment Committee: The Investment Committee must approve any project with a value greater than: <ul style="list-style-type: none"> BT (Capital and Software as a Service (SaaS)) projects \$500,000 Any other capital project \$1,000,000 The project must be included in the approved Annual Plan
DFA 1.3	Approve budget changes to approved projects	Up to \$5,000,000 total reapproved project cost	Nil	Nil	Investment Committee: The Investment Committee must approve any change request where the change amount is greater than; <ul style="list-style-type: none"> BT (Capital and SaaS) projects \$500,000 Any other capital project \$1,000,000 Note: Change includes cost and scope.
DFA1.4	Emergency Procurement	\$1,000,000	\$100,000 Group Manager Road Asset Maintenance & Renewals or Harbourmaster/Regional On-Scene Commander only	Nil	Emergency procurement must be approved in accordance with Delegated Financial Authority and the Procurement Policy. The Chief Financial Officer and the Group Manager Procurement must be notified within 48 hours where goods and/or services have been procured through the Emergency Procurement Process.



Schedule 2: Procurement Processes

Creating an external obligation for Capex and Renewal Budgets Includes Road Corridor Maintenance Agreements

Standard Purchase orders should not be used for Professional Services.

Professional Services means:

- Specialist or unique technical (for example, engineering or design services) or non-technical services performed by independent suppliers, consultants or individuals whose occupation is the rendering of such services according to the specifications and time parameters defined (for example, defined in an RFQ, RFP or SOW); and
- delivered under contractual terms and paid for under a fixed fee or hourly rate, or a combination with specified outputs (for example, report, design, drawings etc.); and

includes general professional services such as business consulting, legal advice, financial advice, training and development consultants.

Ref:	Delegation	Kererū	Tūi	Kōtare	Notes
DFA 2.1	Approve a procurement request (Procurement Plan or Supplier Selection Recommendation) to initiate a procurement process	\$10,000,000	\$5,000,000	\$1,000,000	Board approval is required for single transactions greater than \$50 million. A Procurement Request is required for a Statement of Work (SOW) executed under a Framework Agreement (e.g. MSA or Header Agreement).
DFA 2.2	Approve the release of a tender (RfX) <i>Note: Tender may include Request for Quote, Registration of Interest, Request for Tender or Request for Proposal</i>				Must be approved by the relevant Procurement Manager – in consultation with Business Owner/Business SME.
DFA 2.3	Approve a tender evaluation report	\$20,000,000	\$10,000,000	\$5,000,000	The tender must be within the financial limits approved under the Procurement Plan.
DFA 2.4	Authority to sign an award letter and contract on behalf of AT that commits AT to financial expenditure with a total contract term of 5 years or less	\$20,000,000	\$10,000,000	\$5,000,000	Subject to an approved Procurement Request and where applicable an approved Tender Evaluation Report. Award letters and contracts must both be signed by appropriate DFA holders.
DFA 2.4A	Authority to sign an award letter and/or contract on behalf of AT that commits AT to financial expenditure with a total contract term of over 5 years	Nil	Nil	Nil	Subject to an approved Procurement Request and where applicable an approved Tender Evaluation Report. Any Procurement Request for a contract beyond 5 years will require clear rationale and will require sign off from relevant Director and Chief Financial Officer. Award letters and contracts must both be signed by appropriate DFA holders. Whenever a contract is signed under this delegation, the Chief Executive shall report to the Board providing contract details and rationale.
DFA 2.4B	Approve an advance payment as part of the contract conditions <i>Note: Advance payments may include deposits or upfront payments.</i>	50% of contract award value	30% of contract award value or a maximum of \$1,500,000	10% of contract award value or a maximum of \$100,000	Subject to an approved Procurement Request and where applicable an approved Tender Evaluation Report. Award letters and contracts must both be signed by appropriate DFA holders. Subject to review by Legal Counsel and Procurement Manager. This does not apply to 3910, 3916 or 3917 contracts.



Ref:	Delegation	Kererū	Tūt	Kōtare	Notes
DFA 2.5	Approve a contract variation on behalf of AT within authorised limits under DFA 2.1 .	\$2,500,000 per variation	\$1,000,000 per variation	\$250,000 per variation	The total financial commitment under the varied contract may not exceed the authorised limits and previous agreed amount under DFA 2.1. This also applies to the use of any previously approved contingency
DFA 2.5A	Approve a contract variation on behalf of AT that commits AT to financial expenditure with a total contract term of over 5 years	Nil	Nil	Nil	Any contract extension beyond 5 years will require clear rationale as to why it hasn't been taken to market and will require sign off from relevant Director and Chief Financial Officer. Whenever the Chief Executive exercises this delegation, the Chief Executive shall report to the Board providing contract details and reason for extension. Note: Includes the use of any previously approved contingency
DFA 2.6	Authority to sign a lease commitment (operating or finance) with a term of 5 years or less (including rights of renewal) for property or services where AT is the lessee.	\$250,000 of annual value	\$100,000 of annual value	\$10,000 of annual value	Provided the lease is approved in the Annual Plan or other specific authorisation. All leases are to be advised to the Financial Reporting Manager prior to signing. Property leases are required to be co-signed by the Chief Financial Officer and Group Manager Strategic Programmes & Property.
DFA 2.7	Authority to commit AT to contribute resources to external projects through signing a memorandum of understanding (MOU), legal agreement or other legally binding mechanism.	\$10,000,000	\$1,000,000	Nil	Includes but not limited to MOU's, Heads of Agreement, cost sharing agreements and development agreements. If the actual value of the decision is not known at the outset, then the most likely value must be used. Includes the approval of annual operational budgets and associated work programme (if any). Resources includes but is not limited to money, materials, staff, or property that AT controls. Refer to Schedule 8 for contracts with no monetary value.



Creating an external obligation for Opex & Cost Centre Budgets

Where engagements include opex & cost centre budget and capex & renewals then delegations should be based on the type that has the highest portion.

Standard Purchase orders should not be used for Professional Services.

Professional Services means:

- Specialist or unique technical (for example, engineering or design services) or non-technical services performed by independent suppliers, consultants or individuals whose occupation is the rendering of such services according to the specifications and time parameters defined (for example, defined in an RFQ, RFP or SOW); and
- delivered under contractual terms and paid for under a fixed fee or hourly rate, or a combination with specified outputs (for example, report, design, drawings etc.); and

includes general professional services such as business consulting, legal advice, financial advice, training and development consultants.

Ref:	Delegation	Kererū	Tūī	Kōtare	Notes
DFA 2.9	Approve a Procurement Request (Procurement Plan or Supplier Selection Recommendation) to initiate a procurement process for professional services	\$3,000,000	\$500,000	\$100,000	Board approval is required for single transactions greater than \$50 million. A Procurement Request is required for a Statement of Work (SOW) executed under a Framework Agreement (e.g. MSA or Header Agreement). A Procurement Request that relates to External Legal Services must be signed by the General Counsel.
DFA 2.10	Approve the release of a tender (RfX) <i>Note: Tender may include Request for Quote, Registration of Interest, Request for Tender or Request for Proposal</i>				Must be approved by the relevant Procurement Manager in consultation with Business Owner/Business SME.
DFA 2.11	Approve a tender evaluation report	\$20,000,000	\$10,000,000	\$5,000,000	The tender must be within the financial limits approved under the Procurement Plan.
DFA 2.12	Authority to sign an award letter and/or contract on behalf of AT that commits AT to financial expenditure	\$20,000,000	\$10,000,000	\$5,000,000	Subject to an approved Procurement Request and where applicable an approved Tender Evaluation Report. Award letters and contracts must both be signed by appropriate DFA holders.
DFA 2.13	Approve a contract variation on behalf of AT within authorised limits under DFA 2.9	\$500,000 per variation	\$250,000 per variation	\$25,000 per variation	The total financial commitment under the varied contract may not exceed the authorised limits and previous agreed amounts under DFA 2.9. Note: Includes the use of any previously approved contingency
DFA 2.13A	Approve a contract variation on behalf of AT that commits AT to financial expenditure with a total contract term of over 5 years	Nil	Nil	Nil	Any contract extension beyond 5 years will require clear rationale as to why it hasn't been taken to market and will require sign off from relevant Division Director and Chief Financial Officer. Whenever the Chief Executive exercises this delegation, the Chief Executive shall report to the Board providing contract details and reason for extension. Note: Includes the use of any previously approved contingency
DFA 2.13B	Approve a Public Transport contract variation	Director Public Transport Services & Active Modes	\$500,000 Group Manager Public Transport Operations AND Head of	\$100,000 Service Operations Manager OR Rail Franchise Manager	# Requires approval from both roles. Only when current year contract value is included in the current Long-Term Plan and the Annual Plan.



Ref:	Delegation	Kererū	Tūī	Kōtare	Notes
			Commercial & Contract Management Only [#]		
DFA 2.14	Authority to sign a lease commitment (operating or finance) with a term of 5 years or less (including rights of renewal) for property or services where AT is the lessee.	\$250,000 of annual value	\$100,000 of annual value	\$10,000 of annual value	Provided the lease is approved in the Annual Plan or other specific authorisation. All leases are to be advised to the Financial Reporting Manager prior to signing. Property leases are required to be co-signed by the Chief Financial Officer and Group Manager Strategic Programmes & Property.
DFA 2.15	Authority to sign a memorandum of understanding (MOU) or legal agreement that commits AT to contribute resources to external projects	\$10,000,000	Nil	Nil	Includes but not limited to MOU's, Heads of Agreement, cost sharing agreements and development agreements. If the actual value of the decision is not known at the outset, then the most likely value must be used. Resources includes but is not limited to money, materials, staff, or property that AT controls. Refer to Schedule 8 for contracts with no monetary value.

General Procurement/SAP

Ref:	Delegation	Kererū	Tūī	Kōtare	Notes
DFA 2.16	Approve changes to liability and standard contract provisions on behalf of Auckland Transport	✓			Subject to review by Legal Counsel and Procurement Manager and SME's (eg Commercial).
DFA 2.17	Approve a SAP contract (in SAP)				Procurement Support Leader OR Procurement Support Advisor Only Subject to an approved contract (as per DFA 2.4 and DFA 2.13) signed by both parties.
DFA 2.18	Approve a SAP standard purchase order for non-contract, low risk and under \$25,000 expenditure only.	\$25,000	\$25,000	\$25,000	For non-contract, low risk and under \$25,000 expenditure only (Refer Procurement Guide). Standard SAP purchase orders over \$25,000 can only be initiated by Procurement.
DFA 2.19	Approve payment of an invoice (including Buyer Created Tax Invoices (BCTI's)) and progress payments				BUDGET HOLDER Subject to SAP system controls matching a duly authorised contract and SAP contract or standard purchase order.



Schedule 3: Training, travel and staff expenses

Ref:	Delegation	Kererū	Tūī	Kōtare	Notes
DFA 3.1	Approve staff costs relating to: <ul style="list-style-type: none"> attending external training and conferences Professional memberships Approved tertiary training 	\$10,000	\$5,000 for single attendance in New Zealand for up to 3 days	\$2,500 for single attendance in New Zealand for up to 3 days	No staff may authorise their own attendance at training, conferences or courses.
DFA 3.2	Approve staff travel within New Zealand	\$10,000	\$5,000	\$2,500	No staff may authorise their own travel.
DFA 3.3	Approve staff travel outside New Zealand	Nil	Nil	Nil	Only the Chief Executive may approve staff travel outside New Zealand.
DFA 3.4	Approve staff relocation costs	\$20,000 for international or domestic relocation	\$7,500 for domestic relocation only	Nil	Based on actual costs reimbursable upon receipts Must also be approved by Director People & Performance and/or Chief Financial Officer.
DFA 3.5	Approve staff expense claims for individual or collective items	\$10,000	\$5,000	\$1,000	No staff may approve their own expense claims.

Schedule 4: Other Decisions with Financial Implications

Assets

Ref:	Delegation	Kererū	Tūī	Kōtare	Notes
DFA 4.1	Approve a single item asset disposal via sale or write-off where unbudgeted	\$1,000,000 Chief Financial Officer only	\$5,000	\$2,000	Value is net book value (NBV).
DFA 4.2	Approve the acquisition or disposal of land	\$5,000,000 where the acquisition or disposal is within an approved budget otherwise \$100,000	Only where specific prior approval is held	Nil	Acquisition or disposal of strategic assets requires Board Approval. Approval of Group Manager Strategic Programmes & Property is required - documents to be co-signed by Delegate and Group Manager Strategic Programmes & Property. Includes transfers of land to other Council or Crown entities. All surplus land is sold by Auckland Council on notice from AT.
DFA 4.3	Authority to instruct Auckland Council to accept transport related assets vested by third parties on the basis of compliance verification by AT	Chief Engineer Only			



Debts, disputes and claims

Ref:	Delegation	Kererū	Tūī	Kōtare	Notes
DFA 4.4	Authority to write-off a bad debt or issue a credit note to settle a claim	\$25,000 per transaction	\$5,000 per transaction	\$1,000 per transaction	Must also be approved by Group Manager Finance if over \$5,000.
DFA 4.5	Authority to settle a non-contractual dispute by way of financial commitment on behalf of AT (whether by payment, credit, carrying out work or otherwise)	\$100,000	\$50,000	\$5,000	Must also be approved by Group Manager Finance if over \$5,000.
DFA 4.6	Approve write-off or waiver of an infringement fee	\$25,000	\$10,000 Group Manager Parking Services & Compliance only	Adjudication Manager only for write-off of multiple tickets to a single ticket owner	
DFA 4.7	Approve refund on an amount that has been paid to AT in a duplicate (e.g. for amounts received in excess or duplicate payments)	\$10,000	\$5,000	\$500	On a per transaction basis. This includes infringement fees, property costs, etc Anything over \$10,000 to be approved by Chief Financial Officer
DFA 4.8	Approve settlement of an insurance claim	\$100,000	\$50,000	Nil	Must also be approved by Group Manager Finance.
DFA 4.9	Approve financial settlement for uninsured compensation or damages	\$100,000	\$50,000	Nil	Must also be approved by Group Manager Finance.

AT Product Delegations

Ref:	Delegation	\$10,000	\$2,000	\$1000	\$500	\$100	Notes
DFA 4.10	Approve a refund, waiver or write-off of an Auckland Integrated Fare System (AIFS) charge e.g. AT HOP	Chief Financial Officer	GM Customer Services only	Manager Customer Care, Retail Channels OR Case Management OR Contact Centre	Retail Channel/Customer Care Team Leaders & Assistant Team Leaders	Customer Care Representatives OR Customer Care Case Managers	Amount is per Customer case. Must also be in alignment with the ATHOP Refund Policy, Procedures and Guidelines.
DFA 4.11	Approve a refund, waiver, credit or write-off of a Parking related charge e.g. AT Park, Pay by Plate, Parking Permits	Chief Financial Officer	GM Road Corridor Access & Coordination/GM Parking Services	Manager Business Performance/Manager Parking Facilities	Business Support Manager	Business Support Advisors	Amount is per case/application. Must also be in alignment with relevant approved policies.
DFA 4.12	Approve a refund, waiver, credit or write-off of a Road Corridor Access charge e.g. TRUC Charges, RCA Applications, Additional Monitoring costs etc	Chief Financial Officer	GM Road Corridor Access & Coordination	Road Corridor Requests Manager/Temp Traffic Monitoring Manager/Compliance Manager	Business Support Manager		Amount is per case/application. Must also be in alignment with relevant approved policies.



DFA 4.13	Approve a refund, waiver, credit or write-off of a Harbourmaster charge e.g. Mooring, Licenses	Chief Financial Officer	Harbourmaster				Amount is per case/application. Must also be in alignment with relevant approved policies.
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Other

Ref:	Delegation	Kererū	Tūt	Kōtare	Specific Roles	Notes
DFA 4.14	Approve a donation or sponsorship payment by AT	\$5,000	\$2,500 Head of Strategic Programmes Communications & Engagement or Head of Brand & Marketing	Nil		No donations may be made to politically affiliated groups or parties. Koha must have approval by the Head of Maori Engagement.
DFA 4.14A	Approve the use of Gift Vouchers (or equivalent) as a donation, sponsorship, payment, gift, prize or prize draw	✓	✓	Nil		Following approval under DFA 4.11. The use of all Gift vouchers must be accounted for on a register. See Procurement for details on sourcing, registers, spend limits etc
DFA 4.15	Approve tax payments (GST, FBT, PAYE etc) to Inland Revenue	Nil	Nil	Nil	Group Manager Finance only	
DFA 4.16	Payroll	Nil	Nil	Nil	Head of Remuneration & Analytics or Chief Financial Officer only	
DFA 4.17	Make payment as an agent	Nil	Nil	Nil	\$10,000,000 Chief Financial Officer only	AT shall act as an agent to pass on Waka Kotahi's funding in accordance with the arrangement. Upon receiving funding from Waka Kotahi, AT shall deduct administrative costs and immediately transfer the funding to the identified recipients

Revenue

Ref:	Delegation	Kererū	Tūt	Kōtare	Notes
DFA 4.18	Authority to sign a lease (operating or finance) with a term of 5 years or less (including rights of renewal) for property where AT is the lessor.	\$250,000 of annual value	\$100,000 of annual value	\$10,000 of annual value	Property leases are required to be co-signed by the Chief Financial Officer and Group Manager Strategic Programme & Property.



Ref:	Delegation	Kererū	Tūī	Kōtare	Notes
DFA 4.19	Authority to sign funding agreements with Government Agencies such as NZTA Waka Kotahi, Crown Infrastructure Partners, KiwiRail, Auckland Council where AT receives funding for projects.	\$250,000,000 Chief Financial Officer			On a per application basis. Relates to activities included in RLTP, Annual Plan or Approved Budget. The Chief Financial Officer may also sign the Continuous Programme or MOR Bids to Waka Kotahi (3 yearly) at any value in accordance with the RLTP.
DFA 4.20	Authority to issue invoices for funding agreements with Government Agencies (except NZTA Waka Kotahi)	\$25,000,000	\$10,000,000	\$500,000	
DFA 4.20A	Authority to issue invoices for NZTA Waka Kotahi funding agreements	\$250,000,000 Chief Financial Officer	\$175,000,000 Head of Funding & Analysis	\$100,000,000 Funding Manager	
DFA 4.21	Authority to issue general invoices/credit note	One up from budget holder of receiving budget			





Delegations

Non-Financial

Part E: Delegations of Operational Responsibilities, Duties, Functions and Powers to Auckland Transport Employees

ATD 2023/01: CHIEF EXECUTIVE’S DELEGATION OF OPERATIONAL RESPONSIBILITIES, DUTIES, FUNCTIONS AND POWERS TO AUCKLAND TRANSPORT EMPLOYEES

PURSUANT TO section 54 of the Local Government (Auckland Council) Act 2009, I, Dean Kimpton, Chief Executive of Auckland Transport:

DELEGATE:

the responsibilities, duties, functions and powers I hold and are specified in the attached Schedules to the Auckland Transport employees as set out, and on the conditions specified (if any), in those Schedules;

SUBJECT TO:

- delegations made by this instrument being exercised in conjunction with any financial delegations made by the Chief Executive (where relevant); and
- delegations made to a category or multiple roles under this instrument only being exercisable in each case by an employee in a role within the specified category or set of multiple roles (as applicable) that has responsibility relevant to the matter in the particular circumstances; and
- the delegations made by this instrument being exercisable by the specified delegates and person(s) in a higher role in the direct reporting line to the specified delegates in each case.

THIS DELEGATION INSTRUMENT TAKES EFFECT on the date that it is signed and continues in effect until it is revoked in writing by me (or any subsequent Chief Executive of Auckland Transport).

All previous delegations of the responsibilities, duties, functions and powers subject to this delegation instrument made by me or any previous Chief Executive of Auckland Transport are revoked on and from the date this delegation takes effect.

For the avoidance of doubt, this delegation revokes the Auckland Transport Delegation Instrument ATD 2022/03 dated 30 August 2022.

Signed:

Chief Executive, Auckland Transport

Date:



DELEGATED OPERATIONAL RESPONSIBILITIES, DUTIES, FUNCTIONS AND POWERS SCHEDULES

Background

This part of the Delegations Register contains non-financial delegations including:

- general administrative delegations relating to human resource matters, the release of information, and legal proceedings; and
- regulatory delegations relating to AT's functions, duties and powers under various legislation.

Schedules

Schedules 1 – 37 outline operational responsibilities, duties, functions and powers that have been delegated to Auckland Transport Employees. The schedules are set out as follows:

Schedule	Description
1	Delegations in relation to Claims, Disputes and Proceedings involving Auckland Transport
2	Delegations in relation to Closure of Premises/Facilities in Emergency Situations
3	Delegations in relation to Communications with the Media
4	Delegations in relation to Human Resources
5	Delegations in relation to Information Management
6	Delegations in relation to Property
7	Delegations in relation to Parking (Control, Management and Enforcement)
8	Delegations in relation to other general matters
9	Delegations in relation to the Civil Defence Emergency Management Act 2002
10	Delegations in relation to the Electricity Act 1992
11	Delegations in relation to the Gas Act 1992
12	Delegations in relation to the Government Rooding Powers Act 1989
13	Delegations in relation to the Heavy Motor Vehicle Regulations 1974
14	Delegations in relation to the Heritage New Zealand Pouhere Taonga Act 2014
15	Delegations in relation to the Land Transport Act 1998
16	Delegations in relation to the Land Transport Management Act 2003 (LTMA)
17	Delegations in relation to the Litter Act 1979
18	Delegations in relation to the Local Government Act 1974
19	Delegations in relation to the Local Government Act 2002
20	Delegations in relation to the Local Government (Auckland Council) Act 2009
21	Delegations in relation to the Local Government Official Information and Meetings Act 1987
22	Delegations in relation to the Major Events Management Act 2007
23	Delegations in Relation to the Maritime Transport Act 1994
24	Delegations in relation to the Postal Services Act 1988
25	Delegations in relation to the Privacy Act 2020



Schedule	Description
26	Delegations in relation to the Protected Disclosures (Protection of Whistleblowers) Act 2022
27	Delegations in relation to the Public Records Act 2005
28	Delegations in relation to the Resource Management Act 1991
29	Delegations in relation to the Telecommunications Act 2001
30	Delegations in relation to the Trespass Act 1980
31	Delegations in relation to the Transport (Vehicular Traffic Road Closure) Regulations 1965
32	Delegations in relation to the Land Transport Rule: Traffic Control Devices 2004
33	Delegations in relation to the Land Transport Rule: Setting of Speed Limits 2022
34	Delegations in relation to the Land Transport Rule: Street Layouts 2023
35	Delegations in relation to the Activities in the Road Corridor Bylaw
36	Delegations in relation to the Signs Bylaw
37	Delegations in relation to the Navigation Bylaw

SCHEDULE 1: Delegations in relation to Claims, Disputes and Proceedings involving Auckland Transport (to be considered with financial delegations)

Ref:	Delegation	AT Board	Chief Executive	Kererū	Tūī	To be consulted
DA 1.1	Power to decide whether mediation is offered or entered into, including the authority to sign any documents in respect of the mediation			✓	✓	General Counsel
DA 1.2	Power to decide whether to submit a claim, issue or dispute or agree to arbitration, including the authority to sign any documents in respect of the arbitration			✓	✓	General Counsel
DA 1.3	Power to decide whether to initiate Tribunal proceedings or District Court proceedings (other than in relation to prosecution of parking and traffic offences)			✓	✓	General Counsel
DA 1.4	Power to decide whether to initiate High Court proceedings or to appeal proceedings to the Court of Appeal or Supreme Court	✓	✓			General Counsel
DA 1.5	Power to decide whether to defend legal proceedings in a Tribunal, the District Court or High Court where an action against Auckland Transport is threatened or instigated			✓		General Counsel
DA 1.6	Power to decide whether to defend a case in the Court of Appeal or Supreme Court where an action against Auckland Transport is threatened or instigated	✓	✓			General Counsel
DA 1.7	Power to settle disputes on behalf of Auckland Transport with a party believed to be liable to Auckland Transport or a party which makes a claim against Auckland Transport up to the values specified in DFA 4.4. (including the authority to sign a settlement agreement on Auckland Transport's behalf)			✓	✓	General Counsel & Chief Financial Officer
DA 1.8	Power to settle, on behalf of Auckland Transport, any Environment Court proceedings that Auckland Transport is a party to (whether as a result of any ADR process requested by the Environment Court under section 268 RMA or otherwise), including the authority to sign agreements committing Auckland Transport to the settlement terms.			✓	✓	General Counsel

SCHEDULE 2: Delegations in relation to Closure of Premises/Facilities in Emergency Situations

Ref:	Delegation	Chief Executive	Kererū	Tūī	Kōtare
DA 2.1	Power to close an Auckland Transport premises/facility in an emergency situation	✓	✓	✓	✓



SCHEDULE 3: Delegations in relation to Communications with Auckland Council Elected Members, Government Ministers and the Media

Ref:	Powers, functions and duties delegated		Must be Consulted
DA 3.1	Power to approve communications with the media on Auckland Transport matters	Refer to Media Category	Manager Media Relations OR ELT
DA 3.2	Power to upload or respond to social media posts on behalf Auckland Transport	Refer to Media Category	Social Media Specialist
DA 3.3	Power to approve communications to Governing Bodies, the Mayor, the Office of the Mayor and Ministers	Refer to Media Category	Head of Corporate Communications OR Head of Stakeholder Engagement
DA 3.3A	Power to approve communications to Ward Councillors & Local MPs	Refer to Media Category	Head of Stakeholder & Elected Member Relations OR Head of Communications & Engagement (Hubs) OR Head of Strategic Programmes Communications & Engagement
DA 3.4	Power to approve communications to Local Boards on formal Auckland Transport matters	Refer to Media Category	Head of Stakeholder & Elected Member Relations OR Elected Members Relationship Partner



SCHEDULE 4: Delegations in relation to Human Resources

Ref:	Powers, functions and duties delegated	Chief Executive	Kererū OR Director People & Performance	Tūi	Kōtare OR all other People Leaders	Specific Roles	To be consulted
DA 4.1	To establish a new position(s) (permanent, fixed term, all onsite non-employees) which has been approved by Finance as having allocated budget and is within approved FTE/headcount		✓				P&P Business Partner
DA 4.1A	To establish a new position(s) (permanent, fixed term, all onsite non-employees) which has not been approved by Finance as having allocated budget	✓					P&P Business Partner
DA 4.1B	To approve an onsite non-employee or temporary employee engagement where rate	✓ is greater than \$1200 per day or \$150 per hour	✓ is up to \$1200 per day or \$150 per hour	✓ is up to \$500 per day or \$60 per hour			Talent Acquisition Consultant
DA 4.1C	To approve an onsite non-employee or temporary employee engagement where the term is greater than 12 months (regardless of value)		✓				P&P Business Partner
DA 4.2	To recruit for replacement vacant position(s) Band J or higher		✓				Talent Acquisition Consultant
DA 4.2A	To recruit for replacement vacant position(s) Band I or lower			✓			Talent Acquisition Consultant
DA 4.3	To approve the appointment of an internal candidate with more than 10% increase to current salary		✓				P&P Business Partners
DA 4.4	To approve a pay rate greater than 110% of remuneration band or pay grade		✓				P&P Business Partners
DA 4.4A	To approve a pay rate between 105% & 110% of remuneration band or pay grade			✓			P&P Business Partners
DA 4.5	To extend contracts for fixed terms or onsite non-employees		✓				P&P Business Partners
DA 4.6	To approve any band changes, or significant changes to a position(s)		✓				P&P Business Partners
DA 4.7	To approve the appointment of a preferred candidate into a vacant position			✓			Subject to approval for new position according to DA 4.1
DA 4.8	To approve a pay rate to up to 104.9% of mid-point of remuneration band or pay grade			✓			
DA 4.9	To allocate an acting assignment where an allowance is payable outside of the set guidelines		✓				P&P and Finance Business Partners
DA 4.10	To propose changes in organisation structure, lead consultation process, consider feedback and make final decision	✓					P&P Business Partners
DA 4.11	To disestablish positions		✓				P&P Business Partners
DA 4.12	To approve the organisation wide remuneration band framework					Head of Remuneration & Analytics	



Ref:	Powers, functions and duties delegated	Chief Executive	Kererū OR Director People & Performance	Tūī	Kōtare OR all other People Leaders	Specific Roles	To be consulted
DA 4.13	To approve the outcome of annual remuneration reviews on an Individual Employment Agreement	✓					Director People & Performance, Head of Remuneration & Analytics, P&P Business Partner
DA 4.14	To approve outcome of remuneration reviews for employees on a Collective Agreement	✓					Director People & Performance, Head of Remuneration & Analytics, P&P Business Partner
DA 4.15	To approve a remuneration review outside the annual review process		✓				Head of Remuneration & Analytics, Finance Business Partners,
DA 4.16	To sign the annual Collective Agreement once ratified	✓					P&P Business Partners, Senior Employee Relations Partner
DA 4.17	To approve changes from the standard Independent Employment Agreement					Director People & Performance only	P&P Business Partners, Senior Employee Relations Partner
DA 4.18	To approve change of hours	Direct line manager of employee seeking hours change					
DA 4.19	To approve attendance at training funded from Corporate Training Budget (subject to DFA 3.1)	Direct line manager of employee seeking training					Head of Learning Experience
DA 4.20	To approve attendance at training/conferences outside New Zealand (subject to DFA 3.1)	✓					Head of Learning Experience
DA 4.21	To approve study assistance up to \$10,000 (subject to DFA 3.1)			✓			
DA 4.21A	To approve study assistance \$10,000 and over (subject to DFA 3.1)		✓				Head of Learning Experience
DA 4.22	To approve the taking of annual leave (with accrual and entitlement)	Direct line manager of employee seeking leave					
DA 4.23	To approve the cashing up of annual leave that is accrued above 4 weeks	Direct line manager of employee cashing up leave					Payroll Specialist
DA 4.24	To approve discretionary leave			✓			P&P Business Partners
DA 4.25	To approve Secondary Employment			✓			P&P Advisors/Consultants, Conflict of Interest Specialist
DA 4.26	To approve bereavement, leave within entitlement	Direct line manager only					
DA 4.27	To approve leave without pay for a period of up to 2 weeks, in accordance with the Leave Policy						
DA 4.28	To approve leave without pay for a period of 2 weeks to 3 months, in accordance with the Leave Policy				✓		
DA 4.29	To approve leave without pay for a period of more than 3 months, in accordance with the Leave Policy			✓			
DA 4.30	To approve study, leave	Direct line manager of employee seeking leave					
DA 4.31	To approve parental leave						
DA 4.32	To approve time in lieu (approval to accrue time)	Direct line manager of employee seeking time in lieu					



Ref:	Powers, functions and duties delegated	Chief Executive	Kererū OR Director People & Performance	Tūī	Kōtare OR all other People Leaders	Specific Roles	To be consulted
DA 4.33	To approve time in lieu (approval to take time)	Direct line manager of employee seeking time in lieu					
DA 4.34	To acknowledge a resignation	Direct line manager of employee submitting resignation					
DA 4.35	To accept a period of notice on resignation less than period stated in employment agreement			✓			P&P Advisor/Consultant
DA 4.36	To respond to a personal grievance claim (in consultation with People Experience Consultants or C&T Business Partner)			✓			Senior Workplace Relations Lead
DA 4.37	To settle a negotiated or mediated claim, as defined by the Employment Relations Act					Executive of the relevant area & Director People & Performance	P&P Business Partners, Senior Employee Relations Lead
DA 4.38	To initiate a disciplinary process				✓		P&P Business Partner/P&P Consultant/Advisor
DA 4.39	To issue a warning to an employee				✓		P&P Business Partner, P&P Consultant/Advisor, Senior Employee Relations Lead
DA 4.40	To suspend an employee.		✓	✓			Senior Employee Relations Partner
DA 4.41	To dismiss an employee.		✓	✓			Senior Employee Relations Partner
DA 4.42	To engage a former employee as a contractor in the same or similar role/industry within 12 months of receiving a redundancy payment or a retirement gratuity	✓					P&P Business Partner, Talent Acquisition Consultant
DA 4.43	To engage a former employee as a contractor or subcontractor within 9 months of leaving AT		✓				P&P Business Partner, Talent Acquisition Consultant
DA 4.44	To hire/backfill any role made redundant within 6 months		✓				P&P Business Partner, Talent Acquisition Consultant



SCHEDULE 5: Delegations in relation to Information Management

Ref:	Powers, functions and duties delegated	Kererū or Specific Role	Approval required
DA 5.1	Power to approve the inspection and/or extraction of emails and any associated data on Auckland Transport's computer systems using electronic discovery tools	Chief Technology Officer, Head of Risk & Legal, or Manager Compliance	
DA 5.2	Power to approve information sharing arrangements (including Memoranda of Understanding in relation to information sharing and Information Sharing Agreements) between Auckland Transport and third parties	✓	Privacy Officer (only where the information sharing arrangements include the disclosure of personal information)
DA 5.3	Authority to approve and execute on behalf of Auckland Transport an Information Sharing Agreement (ISA) between Auckland Transport and a third party where the ISA is in a standard pre-approved form (i.e. a form pre-approved by the Privacy Officer in accordance with DA 5.2). This delegation includes authority to approve and execute an ISA with minor variations to the standard pre-approved form.	Chief Technology Officer	

SCHEDULE 6: Delegations in relation to Property

Ref:	Powers, functions and duties delegated	Group Manager Strategic Programmes & Property	Technical Property Services Manager	Property Optimisation Manager	Group Manager Road Asset Maintenance & Renewals	Road Corridor Requests Manager	General Counsel	Group Manager Transport, Network, Planning & Policy	Traffic Operations Manager
DA 6.1	Power to sign applications, documents, or instruments in relation to any interest in land, on behalf of Auckland Transport	✓	✓					✓	
DA 6.2	Power to approve amendments to or withdrawals or discharges of caveats, easement certificates, compensation certificates and releases of bonds or encumbrances	✓							
DA 6.3	Power to act with full powers as the land owner, lessor, licensor, grantor, assignor or any other party with an interest in land, in respect of land and buildings which are owned by or under the control of Auckland Transport (except as expressly delegated elsewhere)	✓	✓	✓					
DA 6.4	Power to act with full powers as lessee, licensee, grantee, assignee, encumbrancee or as any other party with an interest in land, in respect of land and buildings which are not owned by Auckland Transport (except as expressly delegated elsewhere)	✓						✓	
DA 6.5	Power to consent to the removal of the limitation pursuant to s202(2) of the Land Transfer Act 2017 for land adjoining Auckland Transport Land.	✓	✓						
DA 6.6	Power to approve works on, over or under Auckland Transport land, including leases and licenses where there is a commercial aspect	✓	✓	✓	✓	✓			



Ref:	Powers, functions and duties delegated	Group Manager Strategic Programmes & Property	Technical Property Services Manager	Property Optimisation Manager	Group Manager Road Asset Maintenance & Renewals	Road Corridor Requests Manager	General Counsel	Group Manager Transport, Network, Planning & Policy	Traffic Operations Manager
DA 6.7	Power to grant easements for cables, drains and pipes that are to be installed in or through land used for road, rail, wharf or airport purposes - Conditional on Group Manager Property and Planning approval of terms and conditions.	✓							
DA 6.8	Power to declare land to be no longer required for any public work and to be surplus for disposal in the manner provided for in Part 3 of the Public Works Act 1981	✓							
DA 6.9	Power to sign the Authority and Instruction form on behalf of Auckland Transport in respect of an e-dealing transaction with an external third party authorising that third party to complete the e-dealing transaction on behalf of Auckland Transport	✓	✓				✓		
DA 6.9A	Power to sign the Authority and Instruction form on behalf of Auckland Transport in respect of an e-dealing transaction with an external third party authorising that third party to complete the e-dealing transaction on behalf of Auckland Transport						✓		
DA 6.10	Power to put in place an e-dealing policy for Auckland Transport and to make changes to that policy as deemed necessary or reasonable						✓		
DA 6.10A	Power to act as a conveyancing professional for an e-dealing transaction						Legal Counsel		
DA 6.10B	Power to act as a primary contact for an e-dealing transaction						Legal Executive		
DA 6.11	Power to approve requests from network utility operators to carry out work on roads in accordance with Auckland Transport's standard conditions				✓	✓			
DA 6.12	Power to approve general interest signs on roads				✓				✓
DA 6.13	Power to give consent, and make submissions and objections as adjoining landowner, or potentially affected party in relation to property Auckland Transport is responsible for	✓						✓	
DA 6.14	Power to provide property owner/controller consent to the flying of unmanned aerial vehicles (drones) over the Auckland transport system in accordance with AT Unmanned Aerial Vehicles Policy.					✓			
DA 6.15	Authority to review and approve designs and departures from the Transport Design Manual (Chief Engineer & Design & Standards Manager)								

SCHEDULE 7: Delegations in relation to Parking, Traffic, Fare Evasion and Harbourmaster Offences

Ref:	Powers, functions and duties delegated	Group Manager Parking Services	Harbourmaster	Operations Leader	Supervisor Central Control Room	Head of Transport & Parking Compliance	Manager Adjudication & Parking Facilities	Infringement Adjudicator & Adjudication Administrator
DA 7.1A	Power to decide whether to prosecute parking, traffic and fare evasion offences	✓						
DA 7.1B	Power to decide whether to prosecute Harbourmaster offences		✓					
DA 7.2	Power to waive charges for parking buildings			✓	✓			
DA 7.3	Power to reduce or waive parking charges	✓				✓		
DA 7.4	Power to remit storage charges on abandoned vehicles	✓						
DA 7.7	Power to waive an infringement fee	✓					✓	✓

SCHEDULE 8: Delegations in relation to Other General Matters

Ref:	Powers, functions and duties delegated	Chief Executive	Kererū	Tūtī	Temporary Traffic Monitoring Manager	To be consulted
DA 8.1	Power to make a written or oral submission to a select committee in relation to a Bill or an inquiry	✓	✓	✓		General Counsel
DA 8.2	Power for the establishment and to determine the composition of Programme Control Groups (PgCG's) Project Control Groups (PCG's) and Steering Committees (Steer Co's)	✓	✓			Enterprise Programme Director
DA 8.3	Authority to act as Traffic Management Coordinator under the Code of Practice for Temporary Traffic Management in relation to approving traffic management plans (TMPs) and coordinating temporary traffic management (TTM)				✓	
DA 8.4	Authority to sign a memorandum of understanding (MOU) or legal agreement that <u>does not</u> commit AT to contribute resources to external projects, or the agreement has no monetary value. Examples include Infrastructure Agreements, Novations, Agreements arising from Plan Changes and Non-Disclosure Agreements	✓	✓	✓		Legal Counsel

SCHEDULE 9: Delegations in relation to the Civil Defence Emergency Management Act 2002

Ref	Section	Powers, functions and duties delegated	Kōtare	Real Time Operations Shift Lead (ATOC)
DA 9.1	60(a)	Duty of Auckland Transport as a lifeline utility to ensure that it is able to function to the fullest possible extent, even though this may be at a reduced level, before and after an emergency	✓	✓
DA 9.2	60(b)	Duty of Auckland Transport as a lifeline utility make available to the Director of Civil Defence Emergency Management (Director) in writing on request, its plan for functioning, during and after an emergency	✓	
DA 9.3	60(c)	Duty of Auckland Transport as a lifeline utility to participate in the development of the national civil defence emergency management strategy and civil defence emergency management plans	✓	
DA 9.4	60(d)	Duty of Auckland Transport as a lifeline utility to provide, free of charge, any technical advice to any Civil Defence Emergency Management Group or the Director that may reasonably be required by that Group or the Director	✓	✓
DA 9.5	60(e)	Duty of Auckland Transport as a lifeline utility to ensure that information that is disclosed to the lifeline utility is used by the lifeline utility, or disclosed to another person, only for the purposes of the Act	✓	✓

SCHEDULE 10: Delegations in relation to the Electricity Act 1992

Ref	Section	Powers, functions and duties delegated	Road Corridor Requests Manager	Temporary Traffic Monitoring Manager	Compliance Manager	Corridor Access Coordinator	Group Manager Services and Performance	Traffic Operations Manager	Real Time Operations Shift Lead (ATOC)	Workplace Experience Manager	Chief Engineer
DA 10.1	23D	Power to set reasonable conditions on land owner's entry and access route	✓		✓	✓					
DA 10.2	23F	Power to refer disputes relating to rights of entry or notice of entry to be referred to the Environment Court for resolution					✓				
DA 10.3	24	Power to set reasonable conditions over the opening up or breaking up of any road and recover reasonable costs and expenses	✓	✓	✓	✓					
DA 10.4	32(2)	Power at any time by notice in writing to require the owner of the works to raise, lower or otherwise alter the position of those works	✓	✓	✓			✓	✓		
DA 10.5	33(1)	Duty to pay the reasonable costs of the work done under section 32(1) of this Act	✓	✓	✓			✓			
DA 10.6	35	Power, as private owner of buildings or land, containing fittings to which section 22 of this Act applies may move and reconstruct or replace them as long as the work is carried out reasonably								✓	✓



SCHEDULE 11: Delegations in relation to the Gas Act 1992

Ref	Section	Powers, functions and duties delegated	Road Corridor Requests Manager	Temporary Traffic Monitoring Manager	Temporary Traffic Manager	Corridor Access Coordinator	Group Manager Services and Performance	Traffic Operations Manager	Real Time Operations Shift Lead (ATOC)	Workplace Experience Manager	Chief Engineer
DA 11.1	25	Power to set reasonable conditions over the opening up or breaking up of any road and recover reasonable costs	✓	✓	✓	✓					
DA 11.2	33	Power to require fittings to have their position changed	✓	✓	✓		✓	✓	✓		
DA 11.3	36	Power, as private owner of buildings or land, containing fittings to which section 23 of this Act applies may move and re-lay or replace them								✓	✓

SCHEDULE 12: Delegations in relation to the Government Roding Powers Act 1989

Ref	Section	Powers, functions and duties delegated	Stakeholder Manager – Road Maintenance	Chief Engineer	Traffic Operations Manager	Transport Controls Manager
DA 12.1	54	Power to require utility authority to remove structure from the road	✓	✓	✓	
DA 12.2	55(2)	Power to require the owner or occupier of any land adjoining a road or public work to do any of the things set out in section 55(2) by way of notice	✓	✓	✓	✓
DA 12.3	57	Power to authorise emergency works on private property in cases of imminent danger to life or property or serious interference with road or public work.	✓	✓	✓	
DA 12.4	62(3)	Power to consent to receiving delegation of New Zealand Transport Agency powers over state highways	Director Customer & Network OR General Counsel			
DA 12.5	64	Power to surrender all or any of its delegated powers and functions which have been delegated under section 62 of this Act by the New Zealand Transport Agency	CE Only			



SCHEDULE 13: Delegations in relation to the Heavy Motor Vehicle Regulations 1974

Ref	Regulation	Powers, functions and duties delegated	Asset Portfolio Manager	Asset Acceptance & Information Manager	Heavy Vehicle Permit Engineer	Road Corridor Requests Manager	Compliance Manager (CN)	Bridge Engineer	Contract Engineer (Contract Management – Area)	Transport Controls Manager
DA 13.1	8	Power to issue permits to operate heavy vehicles when the inflation of tyres exceeds the authorised maximum (including revocation and amendment of permits)			✓	✓	✓			
DA 13.2	10(5)	Duty to give notice of a prohibition			✓	✓	✓			
DA 13.3	11(5)	Duty to notify the Agency of any weight limit or speed limit with respect to any bridge	✓							✓
DA 13.4	11(11)	Duty to notify the Agency of the removal of any weight limits or speed limits and to forward a copy of the newspaper containing the notification			✓	✓	✓			✓
DA 13.5	12(1)	Power to declare a road or any part of a road to be a construction zone by notice in writing	✓	✓	✓	✓	✓	✓	✓	
DA 13.6	12(2)	Duty to notify the Agency of construction zone notice under s12(1)	✓	✓	✓	✓	✓	✓	✓	

SCHEDULE 14: Delegations in relation to the Heritage New Zealand Pouhere Taonga Act 2014

Ref	Section	Powers, functions and duties delegated	Planning & Acquisition Manager
DA 14.1	44(a) and (b)	Power to apply to Heritage New Zealand Pouhere Taonga to undertake an activity that will or may modify or destroy any archaeological site or sites, recorded or not	✓
DA 14.2	56(1)(b)	Power to apply to Heritage New Zealand Pouhere Taonga to carry out an exploratory investigation of any site or locality, subject to the conditions it thinks fit.	✓

SCHEDULE 15: Delegations in relation to the Land Transport Act 1998

Ref	Section	Powers, functions and duties delegated	Head of Strategic Programmes Communications and Engagement	GM Transport, Network, Planning & Policy	Traffic Operations Manager	Compliance manager (CN)	Real Time Operations Shift Lead	Temporary Traffic Monitoring Manager	Chief Engineer	Group Manager Parking Services and Compliance
DA 15.1	16A	Power to temporarily close roads to heavy traffic if urgent risk of damage to roads or danger to safety of road users			✓	✓	✓	✓		
DA 15.2	22AB (4)	Duty to provide Minister with a copy of any bylaws made under this section, within 1 week after being made		✓						
DA 15.3	22AE	Duty to give public notice of the making of the bylaw and make copies of the bylaw available for public inspection	✓							
DA 15.4	128D	Power to appoint a person to hold the office of parking warden								✓



SCHEDULE 16: Delegations in relation to the Land Transport Management Act 2003 (LTMA)

Ref	Section	Powers, functions and duties delegated	Contract Manager (PT)
DA 16.1	127	Power to require operator to provide patronage and fare revenue data and to specify the form the data is to be provided	✓
DA 16.2	133(2)	Power to consent to any notice required by section 133 LTMA to be given by an organisation on behalf of the person and/or to relate to any number of exempt services	✓
DA 16.3	133(3)	Power to reduce or waive the period of notice required under section 133(1) LTMA	✓
DA 16.4	134	Power to decline to register an exempt service or record in the register a variation of the route(s) of an exempt service	✓
DA 16.5	137(1)	Power to deregister all or part of an exempt service if satisfied that the operator (a) has persistently failed to operate the exempt service or part of it; or (b) has failed to commence operating the exempt service within 90 days after registration	✓
DA 16.6	137(2)	Power to remove the details of a variation to the route(s) of an exempt service from the register, if satisfied that the exempt service has failed to commence operating in accordance with the variation within 90 days of recording the details of variation in register	✓
DA 16.7	139(2)	Power to reduce or waive the period of notice required by section 133(1) LTMA if satisfied that the public would not be unreasonably disadvantaged by the earlier withdrawal of the exempt service	✓

SCHEDULE 17: Delegations in relation to the Litter Act 1979

Ref	Section	Powers, functions and duties delegated	Contract Engineer (Contract Management - Area)
DA 17.1	9(1)	Duty to provide and maintain suitable litter receptacles	✓



SCHEDULE 18: Delegations in relation to the Local Government Act 1974

Ref	Section	Powers, functions and duties delegated	Stakeholder Manager – Road Maintenance	Contract Engineer (Contract Management – Area)	Traffic Operations Manager	Temporary Traffic Monitoring Manager	Operations Planning and Performance Manager	Asset Portfolio Manager	Road Corridor Requests Manager	Special Events Lead	Technical Property Services Manager	Group Manager Strategic Programmes & Property	Design and Standards Manager	Group Manager Network Management	Asset Acceptance & Information Manager	Traffic Engineering Team Leader	Road Safety Engineering Team Leader	Compliance Manager (CN)	Real Time Operations Shift Lead (ATOC)	Traffic Management Specialist	Response & Investigations Coordinator	Design and Standard Manager
DA 18.1	319(1)(a), (c), (d) and (g)	Power to construct, upgrade and repair; lay out; divert or alter the course of, alter the level of, all roads	✓	✓	✓		✓	✓					✓			✓	✓					
DA 18.2	319(1)(e) and (f)	Power to increase or diminish the width of the road, and determine what part of the road shall be carriageway, footpath or cycle track only	✓	✓	✓		✓	✓					✓			✓	✓					
DA 18.3	319 (1)(h)	Power to stop or close any road or part thereof in the manner and under the conditions set out in section 342 and Schedule 10	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓							✓	✓		
DA 18.4	319 (1)(i)	Power to make and use a temporary road on unoccupied land adjacent to road being constructed or repaired	✓	✓	✓		✓	✓														
DA 18.5	319 (1)(k)	Power to sell the surplus spoils of road	✓	✓				✓														
DA 18.6	319 (1)(l)	Power to construct on any road or adjacent land, elevators, moving platforms, machinery, overhead bridges, subways, tunnels, shafts, for the purpose of providing access from one road to another	✓	✓	✓		✓	✓					✓		✓							
DA 18.7	324A	Power to carry out works on Māori roadway	✓	✓	✓		✓	✓							✓							
DA 18.8	326	Power to charge betterment for road improvements						✓				✓			✓							
DA 18.9	327A	Power to sign and duty to send notice of cancellation of building line restriction									✓	✓										

Ref	Section	Powers, functions and duties delegated	Stakeholder Manager – Road Maintenance	Contract Engineer (Contract Management – Area)	Traffic Operations Manager	Temporary Traffic Monitoring Manager	Operations Planning and Performance Manager	Asset Portfolio Manager	Road Corridor Requests Manager	Special Events Lead	Technical Property Services Manager	Group Manager Strategic Programmes & Property	Design and Standards Manager	Group Manager Network Management	Asset Acceptance & Information Manager	Traffic Engineering Team Leader	Road Safety Engineering Team Leader	Compliance Manager (CN)	Real Time Operations Shift Lead (ATOC)	Traffic Management Specialist	Response & Investigations Coordinator	Design and Standard Manager
DA 18.10	330	Power to make maps showing all roads and the levels, and duty to ensure this is open for public inspection at the office of the council [Auckland Transport]						✓							✓							
DA 18.11	331	Power to form or upgrade footpaths and channels as it sees fit	✓	✓	✓		✓	✓					✓			✓	✓					
DA 18.12	332	Power to form public cycle tracks	✓	✓	✓		✓	✓					✓			✓	✓					
DA 18.13	333	Power to construct, erect, or grow, or remove dividing strips, guiding or sign posts, pillars or other markers, trees, hedges, lawns, gardens and other devices on roads	✓	✓	✓		✓	✓					✓			✓	✓					
DA 18.14	334	Power to erect monuments, construct and enclose part of any road, lay out or plant plots or flower beds or trees etc. and provide for facilities on or under roads	✓	✓	✓		✓	✓					✓			✓	✓					
DA 18.15	334A	Power to light road, private roads and public places	✓	✓	✓		✓	✓					✓									
DA 18.16	335	Power to require payment for the cost of construction of a vehicle crossing	✓	✓				✓	✓		✓		✓					✓				
DA 18.17	337	Power to require alteration to pipes and drains	✓	✓	✓			✓	✓		✓											
DA 18.18	338	Power to Grant easement for petroleum or biofuel conduit pipes along or under any road or private road or private way						✓														

Ref	Section	Powers, functions and duties delegated	Stakeholder Manager – Road Maintenance	Contract Engineer (Contract Management – Area)	Traffic Operations Manager	Temporary Traffic Monitoring Manager	Operations Planning and Performance Manager	Asset Portfolio Manager	Road Corridor Requests Manager	Special Events Lead	Technical Property Services Manager	Group Manager Strategic Programmes & Property	Design and Standards Manager	Group Manager Network Management	Asset Acceptance & Information Manager	Traffic Engineering Team Leader	Road Safety Engineering Team Leader	Compliance Manager (CN)	Real Time Operations Shift Lead (ATOC)	Traffic Management Specialist	Response & Investigations Coordinator	Design and Standard Manager
DA 18.19	339	Power to erect transport shelter on the footpath of any road			✓																	
DA 18.20	341	Power to Grant a Lease or Licence, to the airspace above any road or to the soil beneath any road									✓	✓										
DA 18.21	342	Power to permanently stop any road or part of any road within the District										✓										
DA 18.22	342(1)(b)	Power to temporarily close roads to traffic or any specified type of traffic (including pedestrian traffic) in accordance with Schedule 10 and impose or permit the imposition of charges as provided for in that schedule.	✓	✓	✓		✓	✓	✓	✓	✓	✓						✓	✓	✓		
DA 18.23	344	Power to permit the erection of gates and cattle stops across roads			✓						✓											
DA 18.24	346A	Power to declare any road as a limited access road, or revoke the status as limited access road of any road												✓								
DA 18.25	346E	Power to authorise any crossing place at which vehicles may proceed to and from any limited access road from and to that parcel of land												✓								
DA 18.25A	346G	Power to determine the purpose(s), extent, and any conditions on which a limited access road is to be treated as a road for the purposes described in section 346G(1) and to notify the Registrar-General of Land. * Note previously delegated under ATD 2019/08.												✓								

Ref	Section	Powers, functions and duties delegated	Stakeholder Manager – Road Maintenance	Contract Engineer (Contract Management – Area)	Traffic Operations Manager	Temporary Traffic Monitoring Manager	Operations Planning and Performance Manager	Asset Portfolio Manager	Road Corridor Requests Manager	Special Events Lead	Technical Property Services Manager	Group Manager Strategic Programmes & Property	Design and Standards Manager	Group Manager Network Management	Asset Acceptance & Information Manager	Traffic Engineering Team Leader	Road Safety Engineering Team Leader	Compliance Manager (CN)	Real Time Operations Shift Lead (ATOC)	Traffic Management Specialist	Response & Investigations Coordinator	Design and Standard Manager
DA 18.26	353	Duty to take general safety provisions as to roads and power to require land owners to fill or cover holes and to fence properties	✓	✓	✓	✓												✓				
DA 18.27	354	Power to consent (with or without conditions) to the construction of a cellar or other excavation within 20 metres of a road						✓			✓											
DA 18.28	355	Power to require the removal or trimming of overhanging trees etc by landowners	✓	✓	✓		✓	✓														
DA 18.29	356	Power to remove, or authorise another person to remove abandoned vehicles from roads			✓														✓		✓	
DA 18.30	357(1)	Power to authorise encroachment onto a road in certain circumstances			✓						✓											
DA 18.30A	357(1)	Power to authorise the discharge of stormwater from any building or land onto a road (or roads) under the control of Auckland Transport via either: (a) kerb discharge; or (b) outlet to a roadside drain on the condition that this power may only be exercised where Auckland Transport has received confirmation from Auckland Council that there are not other viable means of stormwater drainage from the building or land concerned. “*Note previously delegated under ATD 2019/07” (like DA18.25A above refers to ATD 2019/08).																				✓

Ref	Section	Powers, functions and duties delegated	Stakeholder Manager – Road Maintenance	Contract Engineer (Contract Management – Area)	Traffic Operations Manager	Temporary Traffic Monitoring Manager	Operations Planning and Performance Manager	Asset Portfolio Manager	Road Corridor Requests Manager	Special Events Lead	Technical Property Services Manager	Group Manager Strategic Programmes & Property	Design and Standards Manager	Group Manager Network Management	Asset Acceptance & Information Manager	Traffic Engineering Team Leader	Road Safety Engineering Team Leader	Compliance Manager (CN)	Real Time Operations Shift Lead (ATOC)	Traffic Management Specialist	Response & Investigations Coordinator	Design and Standard Manager
DA 18.31	357(1A)	Power to authorise commencement of proceedings for an offence under section 357(1)			✓						✓											
DA 18.32	357A	Power to lease ferry for term not more than 14 years, under terms and conditions it sees fit without submitting the lease to public auction or tender						✓														
DA 18.33	361	Power to establish or abolish toll gates at bridges, tunnels and ferries						✓														
DA 18.34	591	Power to utilise any land or buildings that may be lawfully appropriate for the provision of parking places and buildings and transport stations						✓				✓										
DA 18.35	Schedule 10, clause 1	Duty to prepare plan of the road proposed to be stopped, and explanation as to why									✓	✓										
DA 18.36	Schedule 10, clause 2	Duty to open the plan for public inspection									✓	✓										
DA 18.37	Schedule 10, clause 5 (refer 319(h) and 342)	Duty to send objections, plans and full description of proposed alterations to the Environment Court									✓	✓										
DA 18.38	Schedule 10, clause 8 (refer 319(h) and 342)	Power to declare by public notice that the road is stopped if the decision is confirmed by the Environment Court									✓	✓										
DA 18.39	Schedule 10, clause 11 (refer 319(h) and 342)	Power to close any road or part thereof to all or any specified traffic	✓	✓	✓	✓	✓	✓	✓	✓									✓	✓		
DA 18.40	Schedule 10, clause 11A (refer 319(h) and 342)	Duty to give public notice of intention to close any or part of a road	✓	✓	✓	✓	✓	✓	✓	✓									✓	✓		

Ref	Section	Powers, functions and duties delegated	Stakeholder Manager – Road Maintenance	Contract Engineer (Contract Management – Area)	Traffic Operations Manager	Temporary Traffic Monitoring Manager	Operations Planning and Performance Manager	Asset Portfolio Manager	Road Corridor Requests Manager	Special Events Lead	Technical Property Services Manager	Group Manager Strategic Programmes & Property	Design and Standards Manager	Group Manager Network Management	Asset Acceptance & Information Manager	Traffic Engineering Team Leader	Road Safety Engineering Team Leader	Compliance Manager (CN)	Real Time Operations Shift Lead (ATOC)	Traffic Management Specialist	Response & Investigations Coordinator	Design and Standard Manager
DA 18.41	Schedule 10, clause 11B (refer 319(h) and 342)	Power to impose charges for entry of persons and vehicles on the closed road, and erection of any structure on the closed road						✓		✓		✓										
DA 18.42	Schedule 10, clause 13 (refer 319(h) and 342)	Power to close any road conditionally or absolutely to motor vehicles or any specified vehicle if it appears that continued use will cause damage to the road			✓			✓	✓			✓										
DA 18.43	Schedule 10, clause 14 (refer 319(h) and 342)	Duty to post appropriate notice at every entry to the road affected, and publish notice in newspaper circulating in the district			✓			✓	✓													
DA 18.44	Schedule 13, clause 1 (refer 330(4))	Duty to publish notice of intention to fix new level; naming the road and describing the situation and proposed level						✓				✓										
DA 18.45	Schedule 13, clause 2 (refer 330(4))	Duty to appoint day on which it will hear objections to the proposed level						✓				✓										
DA 18.46	Schedule 13, clause 3 (refer 330(4))	Duty to serve notice on the occupiers of all land adjoining that part of the road the level of which is proposed to be fixed						✓				✓										
DA 18.47	Schedule 13, clause 7 (refer 330(4))	Duty to give public notice of the level so fixed						✓				✓										

SCHEDULE19: Delegations in relation to the Local Government Act 2002

Ref	Section	Powers, functions and duties delegated	GM Transport, Network, Planning & Policy	Asset Portfolio Manager	Group Manager Road Network Operations	Group Manager Road Corridor Access & Coordination	Group Manager Transport, Network, Planning & Policy	Compliance Manager (CN)	Group Manager Road Asset, Maintenance & Renewals	Compliance Auditor	Contract Engineer (Contract Management - Area)	Group Manager Parking Services	Traffic Operations Manager	Technical Property Services Manager
DA 19.1	157	Responsibility for ensuring Auckland Transport gives public notice of the making of a bylaw and for making copies of the bylaw available for public inspection	✓											
DA 19.2	158	Responsibility for leading review of bylaws made under Local Government Act 2002 and Local Government Act 1974 and the Maritime Transport Act 1994	✓											
DA 19.3	162	Power to make application to the District Court seeking an injunction to restrain a person from committing a breach of a bylaw		✓	✓	✓	✓					✓	✓	✓
DA 19.4	163	Power to remove or alter works in breach of a bylaw and recover the costs		✓	✓			✓	✓			✓	✓	✓
DA 19.5	167	Duty to return property that has been seized and impounded if it is not likely to be involved in the offence and the owner has paid the costs in seizing, impounding, transporting and storing the property		✓	✓			✓	✓			✓	✓	✓
DA 19.6	168	Power to dispose of property seized and impounded		✓	✓			✓	✓			✓		
DA 19.7	171	Power of entry to any land or building other than a dwelling house		✓	✓			✓	✓			✓		
DA 19.8	172	Power of entry onto land for enforcement purposes		✓	✓			✓	✓			✓		
DA 19.9	173	Power of entry in case of emergency		✓	✓					✓	✓	✓		
DA 19.10	174	Duty to provide a written warrant as evidence of authority to act		✓	✓			✓	✓			✓		
DA 19.11	175	Power to recover against a person wilfully or negligently damages, destroys, stops, obstructs or interferes with property		✓	✓			✓	✓			✓		
DA 19.12	177	Power to appoint enforcement officers										✓		
DA 19.13	179	Power to contract out administration of the operational aspects of enforcement										✓		
DA 19.14	180	Power to undertake the enforcement and administration of regional council bylaws										✓		

SCHEDULE 20: Delegations in relation to the Local Government (Auckland Council) Act 2009

Ref	Section	Powers, functions and duties delegated	Technical Property Services Manager
DA 20.1	48(2)	Duty to inform Auckland Council in writing of Auckland Transport's decision to stop road	✓

SCHEDULE 21: Delegations in relation to the Local Government Official Information and Meetings Act 1987

Ref	Section	Powers, functions and duties delegated	
DA 21.1	46	Duty to provide public notification of meetings	Head of Governance OR Head of Strategic Programme Communications & Engagement ✓
DA 21.2	S 46A, 49 and 51	Duty to provide availability of agendas, reports and minutes	Head of Governance OR Head of Strategic Programme Communications & Engagement ✓
DA 21.3	51A	Duty to publicly notify resolution at extraordinary meeting	Head of Governance OR Head of Strategic Programme Communications & Engagement ✓
DA 21.4	14	Power to extend the time limit for transferring or making a decision on a request for official information	Customer Care Engagement Manager
DA 21.5		Power to approve responses on behalf of AT to information requests under LGOIMA	Customer Care Engagement Manager
DA 21.6		Power to approve responses to NZ Police or Ministry of Justice on behalf of AT to information requests under LGOIMA	LGOIMA Business Partners



SCHEDULE 22: Delegations in relation to the Major Events Management Act 2007

Ref	Section	Powers, functions and duties delegated	ATOC Manager	Harbourmaster	Asset Portfolio Manager	Group Manager Public Transport Operations
DA 22.1	All	All powers, duties and functions of Auckland Transport under the Act in the case that Auckland Transport is a "major event organiser" or "major event sponsor" as defined in the Act	✓	✓	✓	✓

SCHEDULE 23: Delegations in relation to the Maritime Transport Act 1994

Ref	Section	Powers, functions and duties delegated	Harbourmaster
DA 23.1	33J	Power to require the owner of a wreck, or an agent of the owner, to remove the wreck within a time and in a manner satisfactory to Auckland Transport; and to destroy, dispose of, remove, take possession of, or sell a wreck (or any part of it).	✓
DA 23.2	33L	Power to remove, store, sell, or other dispose of an abandoned ship	✓
DA 23.3	33R	Power to enforce and recover any fees or charges prescribed by Auckland Council pursuant to section 33R of the Act in accordance with the Regulatory Agreement relating to the Harbourmaster Business Unit between Auckland Transport and Auckland Council dated 24 April 2015.	✓

SCHEDULE 24: Delegations in relation to the Postal Services Act 1988

Ref	Section	Powers, functions and duties delegated	Corridor Access Requests Manager	Compliance Manager (CN)	Temporary Traffic Monitoring Manager
DA 24.1	40	Powers to impose special conditions on a postal operator s in regard to public letterboxes	✓	✓	✓
DA 24.2	41	Duty to inform the network utility operator of conditions imposed in respect of erecting and maintaining public letterboxes in any road, street, reserve or public place	✓	✓	✓

SCHEDULE 25: Delegations in relation to the Privacy Act 2020

Ref	Section	Powers, functions and duties delegated	General Counsel	Kererū/Tūī/Kōtare
DA 25.1	113, 114	Power to decide whether a privacy breach is a notifiable breach, and notify the Commissioner as soon as practicable	✓	
DA 25.2	201	Responsibilities (of a privacy officer) as set out in section 201 of the Act with respect to Auckland Transport	✓	
DA 25.3	All others	All other functions, powers and duties under the Privacy Act		✓



SCHEDULE 26: Delegations in relation to the Protected Disclosures (Protection of Whistleblowers) Act 2022

Ref	Section	Powers, functions and duties delegated	Head of Risk and Legal	Manager Compliance	Employee Relations Partner	Investigation Specialist
DA 26.1	All	Power to receive a complaint under the Protected Disclosures Act 2022	✓	✓	✓	✓
DA 26.2		Power to authorise the investigation of a complaint under the Protected Disclosures Act 2022	✓	✓	✓	✓
DA 26.3	16	Power to authorise the referral of a complaint under the Protected Disclosures Act 2022	✓			

SCHEDULE 27: Delegations in relation to the Public Records Act 2005

Ref	Section	Powers, functions and duties delegated	Corporate Information Manager
DA 27.1	17	Duty to provide for the creation and maintenance full and accurate local authority records	✓
DA 27.2	40	Duty to comply with the requirements in relation to protected records	✓
DA 27.3	45 and 46	Duty to classify the access status of Auckland Transport's local authority records	✓
DA 27.4	47	Duty to provide for public inspection of open access records	✓

SCHEDULE 28: Delegations in relation to the Resource Management Act 1991

Ref	Section	Powers, functions and duties delegated	Director Strategy & Governance	Group Manager Transport, Network, Planning & Policy	General Counsel	Group Manager Strategic Programmes & Property	Planning Manager Consent Planning	Manager Development Planning	Group Manager Investment Development	Harbourmaster
DA 28.1	27	Responsibility for supplying information in writing when required by the Minister for the Environment	✓							
DA 28.1A	87D	Authority to make a request on behalf of Auckland Transport to the relevant consent authority to allow an application (as described in section 87C(1) RMA) to be determined by the Environment Court instead of the consent authority.				✓				
DA 28.2	88	Power to apply to consent authority for resource consent				✓	✓			
DA 28.3	95E(3)	Power to give approval (by written notice to the consent authority) on behalf of Auckland Transport for a proposed activity		✓						
DA 28.4	96(1)	Power to lodge a submission on a publicly notified application for resource consent		✓						
DA 28.5	96(1)	Power to lodge a submission on a notified notice of requirement		✓				✓	✓	



Ref	Section	Powers, functions and duties delegated	Director Strategy & Governance	Group Manager Transport, Network, Planning & Policy	General Counsel	Group Manager Strategic Programmes & Property	Planning Manager Consent Planning	Manager Development Planning	Group Manager Investment Development	Harbourmaster
DA 28.6	96(3)	Power to lodge a submission on a limited notified application for resource consent		✓				✓	✓	
DA 28.7	120(1)	Power to appeal to the Environment Court against a decision of consent authority on an application for resource consent, or an application for change or review of consent conditions		✓		✓				
DA 28.7A	125(1A)(b)	Power to apply to consent authority to extend the period after which a resource consent will lapse				✓	✓			
DA 28.8	127	Power to apply to consent authority for a change or cancellation of consent condition				✓	✓			
DA 28.8A	134	All powers and duties of Auckland Transport under this section with respect to any land use consent that Auckland Transport holds an interest in. This includes transferring to another party and receiving from another party.				✓	✓			
DA 28.8B	135	All powers and duties of Auckland Transport under this section with respect to any coastal permit Auckland Transport holds an interest in. This includes transferring to another party and receiving from another party.				✓	✓			
DA 28.8C	136	All powers and duties of Auckland Transport under this section with respect to any water permit Auckland Transport holds an interest in. This includes transferring to another party and receiving from another party.				✓	✓			
DA 28.8D	137	All powers and duties of Auckland Transport under this section with respect to any discharge permit that Auckland Transport holds an interest in. This includes transferring to another party and receiving from another party.				✓	✓			
DA 28.9	138(1)	Power to surrender a resource consent, either in whole or part, by giving written notice to the consent authority				✓	✓			
DA 28.10	149E	Power to make submission to the EPA on a matter for which the Minister has made a direction and public notice has been given		✓				✓	✓	
DA 28.11	149F	Power to make further submission to the EPA on a matter for which the Minister makes a direction under section 142(2) or 147(1)(a) or (b) is a request for the preparation of a regional plan, a request for a change to a plan, a change to a plan, or a variation to a proposed plan.		✓				✓	✓	
DA 28.12	149V	Power to appeal decisions to High Court only on question of law		✓	✓	✓				
DA 28.13	168(2)	Power of requiring authority to give notice in the prescribed form to a territorial authority of its requirement for a designation				✓				
DA 28.14	168(4)	Power of a requiring authority to withdraw a requirement by giving notice to the territorial authority affected				✓				
DA 28.15	170	Power of a requiring authority to grant consent to territorial authority to include requirement in proposed plan and whether to agree to form part of or withdraw from or nominate representative for appointment to relevant collaborative group				✓				



Ref	Section	Powers, functions and duties delegated	Director Strategy & Governance	Group Manager Transport, Network, Planning & Policy	General Counsel	Group Manager Strategic Programmes & Property	Planning Manager Consent Planning	Manager Development Planning	Group Manager Investment Development	Harbourmaster
DA 28.16	172(1)	Duty to advise the territorial authority whether the recommendation is accepted or rejected in whole or in part; Power to modify a requirement; and If rejecting the recommendation in whole or in part, or modifies the requirement, duty to give reasons for that decision.				✓				
DA 28.17	174(1)	Power to appeal the decision of a requiring authority		✓		✓				
DA 28.18	176(1)(b)	Power as a requiring authority to give or refuse written consent to a person to do anything in relation to the land that is subject to the designation that would prevent or hinder a public work or project or which the designation relates to		✓		✓	✓			
DA 28.19	176A(1)	Power as requiring authority to submit outline plan to territorial authority of the public work, project, or work to be carried out				✓				
DA 28.20	177(1) and (2)(a)	Power of a requiring authority responsible for earlier designation to give written consent to requiring authority responsible for later designation to do anything that is in accordance with that designation		✓		✓				
DA 28.21	177(1)(b)	Responsibility as a requiring authority to gain written consent from a requiring authority who already has a designation over the same area				✓				
DA 28.22	178(2)	Power as a requiring authority to give or refuse to give written consent to a person to do anything that would prevent or hinder the public work/project to which a designation relates				✓				
DA 28.23	179(1)	Power as a requiring authority to appeal against the refusal of consent by another requiring authority under section 176(1)(b), 177(2) or 178(2) or against conditions imposed by the other requiring authority on the grant of consent		✓		✓				
DA 28.24	180(1), (2)	Power as a requiring authority to transfer and receive rights and responsibilities for designations to another requiring authority conditional on advising the Minister for the Environment of the Transfer (in consultation with General Counsel)				✓				
DA 28.25	181(1)	Power as a requiring authority to give notice to the territorial authority of a requirement to alter a designation				✓			✓	
DA 28.26	182(1)	Power as a requiring authority to give notice that a designation is no longer wanted				✓				
DA 28.26A	184(1) and (2)	Authority to make an application on behalf of Auckland Transport to seek an extension to a designation.				✓				
DA 28.27	184(3); section 357	Power as a requiring authority to object a decision not to fix a longer lapse period for a designation that has not been given effect to				✓				
DA 28.28	186(1)	Power as a network utility operator (that is a requiring authority) to apply to the Minister of Lands to have land required for a project acquired or taken under Part 2 of the Public Works Act 1981				✓				
DA 28.29	198B	Authority to make a request on behalf of Auckland Transport to the relevant consent authority to allow a requirement (as described in section 198B RMA) to be determined by the Environment Court instead of the consent authority.				✓				
DA 28.30	274(1)	Power to decide that Auckland Transport become a party to proceedings before the Environment Court		✓		✓				✓



Ref	Section	Powers, functions and duties delegated	Director Strategy & Governance	Group Manager Transport, Network, Planning & Policy	General Counsel	Group Manager Strategic Programmes & Property	Planning Manager Consent Planning	Manager Development Planning	Group Manager Investment Development	Harbourmaster
DA28.30A	274(1)	Power to, once AT becomes a party to proceedings, make decisions in such processes		✓		✓			✓	✓
DA 28.31	299	Power to decide that Auckland Transport become a party to an appeal on a question of law to the High Court	✓		✓					
DA 28.32	301	Duty to give notice of intention to appear on appeal to High Court		✓		✓				
DA 28.33	Clause 6A Schedule 1	Power to make a submission where limited notification is given		✓				✓	✓	
DA 28.34	Clause 6(3), Schedule 1	Power to make a submission on a proposed policy statement or plan		✓				✓	✓	✓
DA 28.35	Clause 8(1), Schedule 1	Power to make further submission on a proposed policy statement or plan		✓			✓		✓	✓
DA 28.36	Clause 14, Schedule 1	Power to decide that Auckland Transport become a party to proceedings before the Environment Court		✓						

SCHEDULE 29: Delegations in relation to the Telecommunications Act 2001

Ref	Section	Powers, functions and duties delegated	Corridor Access Coordinator	Road Corridor Requests Manager	Temporary Traffic Monitoring Manager	Compliance Manager (CN)	Traffic Operations Manager	Asset Portfolio Manager	Contract Engineer (Contract Management - Area)	Real Time Operations Shift Lead (ATOC)
DA 29.1	119	Power to consider conditions for access to roads	✓	✓	✓	✓	✓	✓		
DA 29.2	128	Duty to deal with trees on road verges interfering or likely to interfere with telecommunications networks					✓		✓	
DA 29.3	135	Power to impose conditions in respect of the construction or maintenance of lines in, on, along, over, across, or under any road	✓	✓	✓	✓		✓		
DA 29.4	137	Duty to notify the network operator in writing of any conditions imposed in respect of the construction or maintenance of lines in, on, along, over, across, or under any road	✓	✓	✓	✓		✓		
DA 29.5	142	Power to impose conditions in respect of the construction, placement and of maintenance cabinets, distribution cabinets, or any other similar appliances on a road		✓	✓	✓	✓	✓		
DA 29.6	143	Duty to notify network operator of any conditions imposed on placing cabinets on public roads		✓	✓	✓	✓	✓		
DA 29.7	147A	Power by notice in writing to require the owner of the works to raise, lower or otherwise alter the position of those works	✓	✓	✓	✓	✓		✓	✓



SCHEDULE 30: Delegations in relation to the Trespass Act 1980

Ref	Section	Powers, functions and duties delegated	Kererū	Group Manager Road Network Operations	Asset Portfolio Manager	Asset Acceptance & Information Manager	Regional Facilities Operations Manager	Group Manager Strategic Programmes & Property	Transport Officer	Group Manager Parking Services	Real Time Operations Manager	Workplace Experience Coordinator
DA 30.1	2	Power to grant authority to any employee or other person to act as occupier of any property lawfully occupied by Auckland Transport	✓									
DA 30.2	3(1)	Power to warn a trespasser to leave the property		✓	✓	✓	✓	✓	✓	✓	✓	✓
DA 30.3	4(1)	Power to either at the time of the trespass or within a reasonable time thereafter, warn a trespasser to stay off the property		✓	✓	✓	✓	✓	✓	✓	✓	✓
DA 30.4	4(2)	Power to, where there is reasonable cause to suspect that any person is likely to trespass on that property, warn that person to stay off the property		✓	✓	✓	✓	✓	✓	✓	✓	✓
DA 30.5	9(1)	Power to require that a person give name and other particulars		✓	✓	✓	✓	✓	✓	✓	✓	✓
DA 30.6	10	Power of an occupier to bring proceedings under the Act (in consultation with General Counsel)	✓									

SCHEDULE 31: Delegations in relation to the Transport (Vehicular Traffic Road Closure) Regulations 1965

Ref	Regulation	Powers, functions and duties delegated	Road Corridor Requests Manager	Compliance Manager (CN)	Temporary Traffic Monitoring Manager	Traffic Operations Manager	Group Manager Network Operations Planning	Group Manager Road Asset, Maintenance & Renewals	Real Time Operations Shift Lead (ATOC)	Traffic Management/Planning Specialist
DA 31.1	3	Power as controlling authority to close any road	✓	✓	✓	✓	✓	✓	✓	✓
DA 31.2	4	Duty as Controlling authority to give public notice of any intended road closure	✓	✓	✓	✓	✓	✓	✓	✓
DA 31.3	5	Power as controlling authority to decide to close road in accordance with the terms of the notice if no objections are received	✓	✓	✓	✓	✓		✓	✓
DA 31.4	6	Duty as controlling authority to consider objections, and give notice of its decision	✓	✓	✓	✓	✓		✓	✓
DA 31.5	7	Power to make determinations as to whether promoter has arranged adequate insurance and other matters	✓	✓	✓	✓	✓		✓	✓



Ref	Regulation	Powers, functions and duties delegated	Road Corridor Requests Manager	Compliance Manager (CN)	Temporary Traffic Monitoring Manager	Traffic Operations Manager	Group Manager Network Operations Planning	Group Manager Road Asset, Maintenance & Renewals	Real Time Operations Shift Lead (ATOC)	Traffic Management/Planning Specialist
DA 31.6	8	Power to authorise the issuing of permits allowing the use of vehicles on road during period of closure	✓	✓	✓	✓	✓		✓	✓

SCHEDULE 32: Delegations in relation to the Land Transport Rule: Traffic Control Devices 2004

Ref	Clause	Powers, functions and duties delegated	Stakeholder Manager - Road Maintenance	Road Network Optimisation Manager	Traffic Operations Manager	Asset Portfolio Manager	Design & Standards Manager	Asset Acceptance & Information Manager	Transport Controls Manager	Contract Engineer (Contract Management - Area)	Temporary Controls Only			
											Corridor Access Coordinator	Compliance Manager (CN)	Event Planning Lead	Traffic Control Committee
DA 32.1	2.1	Power to authorise, and as appropriate, install, operate or remove traffic control devices			✓						✓			
DA 32.2	2.1	Power to authorise, and as appropriate, install, operate or remove traffic control devices approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓	✓	✓	✓			✓			
DA 32.3	3.2	Power to approve the provision and operation of a traffic control device by a third party on a road and to authorise the removal or obstruction of traffic control devices approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓	✓	✓	✓						
DA 32.4	3.4	Power to apply to New Zealand Transport Agency for approval for AT to conduct a TCD Trial			✓				✓					
DA 32.5	3.3	Power to determine matters to be taken into account when providing, modifying and removing traffic control devices			✓		✓		✓					
DA 32.6	3.4(6)	Duty to advertise trials of traffic control devices approved by the Traffic Operations Manager or Traffic Control Committee		✓	✓				✓					
DA 32.7	3.4(9)	Duty to remove a traffic control device at the end of a trial		✓	✓				✓					
DA 32.8	4.2	Duty to provide traffic signs approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.9	5.2	Duty to provide road markings approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.9A	5.6(1)	Power to authorise roadway art for installation on any roadway * refer ATD 2020/03			✓		✓							✓



Ref	Clause	Powers, functions and duties delegated	Stakeholder Manager - Road Maintenance	Road Network Optimisation Manager	Traffic Operations Manager	Asset Portfolio Manager	Design & Standards Manager	Asset Acceptance & Information Manager	Transport Controls Manager	Contract Engineer (Contract Management - Area)	Temporary Controls Only			
											Corridor Access Coordinator	Compliance Manager (CN)	Event Planning Lead	Traffic Control Committee
DA 32.10	6.2	Duty to provide traffic signals approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.11	6.3(3)	Duty to provide road markings at traffic signals approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.12	6.4(21)	Power to install and operate temporary traffic signals	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.13	6.5(5)	Power to install advance warning traffic lights	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.14	6.5(10)	Power to install additional advice warning traffic signals	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.15	6.6	Power to install pedestrian traffic signals in certain circumstances approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.16	7.1	Power to provide traffic control devices for channelling traffic approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.17	7.2	Power to mark centre lines on roads approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.18	7.3	Power to mark no passing lines on roads approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.19	7.4	Power to mark flush medians on roads approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.20	7.5	Power to mark edge lines on roads approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.21	7.6	Power to mark shoulder markings on roads approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.22	7.7	Power to mark a raised traffic islands approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.23	7.8	Power to mark turn bays on roads approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	



Ref	Clause	Powers, functions and duties delegated	Stakeholder Manager - Road Maintenance	Road Network Optimisation Manager	Traffic Operations Manager	Asset Portfolio Manager	Design & Standards Manager	Asset Acceptance & Information Manager	Transport Controls Manager	Contract Engineer (Contract Management - Area)	Temporary Controls Only			
											Corridor Access Coordinator	Compliance Manager (CN)	Event Planning Lead	Traffic Control Committee
DA 32.24	7.7	Power to provide kerbs, slow point, chicanes, road bump and other structures and signs, markings or delineators in conjunction with a structure approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.25	7.1	Power to use delineators approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.26	7.11	Power to mark lane lines approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.27	7.13	Power to control the use of a lane by means of variable lane control signs approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓					✓	✓	✓	✓	
DA 32.28	8.1	Power to provide traffic control devices for pedestrians approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.29	8.3(1)	Power to authorise the Board of Trustees of a school to appoint persons or members of school patrols at specified school crossing points or pedestrian crossings to assist, direct and supervise children on their way to or from school approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓					✓	✓	✓	✓	
DA 32.30	8.3(3)	Power to withdraw an authorisation under 8.3(1) of this rule	✓	✓	✓					✓	✓	✓	✓	
DA 32.31	8.5	Power to provide pedestrian traffic signals approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.32	8.7	Duty to remove pedestrian crossings and indications of pedestrian crossings if required by the Agency	✓	✓	✓					✓	✓	✓	✓	
DA 32.33	8.8(4)	Power to provide signs, markings, surface texture or raised platforms or kerb extensions and traffic islands or other techniques to guide a pedestrian to a crossing place approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	

Ref	Clause	Powers, functions and duties delegated	Stakeholder Manager - Road Maintenance	Road Network Optimisation Manager	Traffic Operations Manager	Asset Portfolio Manager	Design & Standards Manager	Asset Acceptance & Information Manager	Transport Controls Manager	Contract Engineer (Contract Management - Area)	Temporary Controls Only			
											Corridor Access Coordinator	Compliance Manager (CN)	Event Planning Lead	Traffic Control Committee
DA 32.34	9.2	Powers to provide traffic control devices at level crossings approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.35	9.3	Powers to paint road markings on approaches to level crossings approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.36	9.4	Powers to provide traffic signs, traffic signals and limit lines at level crossings approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.37	10.1	Powers to control intersections that have four or more approaching roadways by stop or give way signs, a roundabout or traffic signals approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.38	10.5	Power to install traffic signs supporting traffic signals at intersections approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.39	10.6	Power to mark "keep clear" zone at intersections approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.40	11.1	Duty to provide signs and markings for special vehicle lanes, light rail vehicle facilities and cycle facilities approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.41	11.5(2)	Duty to install signs detailing weight and dimension restrictions approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.42	11.5(3)	Duty to provide signs for special routes or zone restriction for motor vehicles approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓					✓	✓	✓	✓	
DA 32.43	11.5(4)	Duty to mark boundaries of road construction zones with signs	✓	✓	✓					✓	✓	✓	✓	



Ref	Clause	Powers, functions and duties delegated	Stakeholder Manager - Road Maintenance	Road Network Optimisation Manager	Traffic Operations Manager	Asset Portfolio Manager	Design & Standards Manager	Asset Acceptance & Information Manager	Transport Controls Manager	Contract Engineer (Contract Management - Area)	Temporary Controls Only			
											Corridor Access Coordinator	Compliance Manager (CN)	Event Planning Lead	Traffic Control Committee
DA 32.44	11.6	Power to install a traffic control device for stock on road approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.45	11.7	Power to authorise the use of hand-held stop signs	✓	✓	✓					✓	✓	✓	✓	
DA 32.46	11.8	Power to install barrier arms approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.47	12.1	Power to prohibit stopping and requirement to indicate prohibition on stopping approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.48	12.3	Duty to indicate restriction on parking approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	
DA 32.49	12.4(1)	Power to restrict parking to parking at an angle to the roadway approved by the Traffic Operations Manager or Traffic Control Committee	✓	✓	✓		✓			✓	✓	✓	✓	

SCHEDULE 33: Delegations in relation to the Land Transport Rule: Setting of Speed Limits 2022

Ref	Clause	Powers, functions and duties delegated	Traffic Control Committee	Corridor Access Coordinator	Road Corridor Requests Manager	Temporary Traffic Monitoring Manager	Road Safety Engineering Manager
DA 33.1	2.5	Power to set a speed limit that is the same as the speed limit described in the relevant Speed Management Plan or which only differs from that Plan in terms of timing or start/end points	✓				
DA 33.2	2.6	Power to set a speed limit, which is not the same as a speed limit described in the relevant Speed Management Plan, using alternative method with approval from the Director of Land Transport.					✓
DA 33.3	2.7A(2)	Power to set a speed limit in conjunction with operating a street layout change pilot pursuant to the Land Transport Rule: Streets Layout 2023.					✓
DA 33.4	2.7B(2)	Power to set a speed limit in conjunction with removing a street layout changes pilot pursuant to the Land Transport Rule: Streets Layout 2023.					✓
DA 33.5	3.4(2)	All duties and obligations of Auckland Transport as Territorial Authority to provide the Regional Transport Committee information in relation to the roads under its control.					✓
DA 33.6	3.4(6)	Power of Auckland Transport as Regional Council to facilitate public consultation					✓
DA 33.7	3.4(7)	All duties and obligations of Auckland Transport acting as Territorial Authority/Road Controlling Authority to undertake consultation in relation to the roads under Auckland Transport control.					✓



DA 33.8	3.9	All duties and obligations to consult in accordance with section 82 Local Government Act 2002 including the obligation to consult with Māori.					✓
TCC3.1	7.1	Power to set a temporary speed limit	✓	✓	✓	✓	✓

SCHEDULE 34: Delegations in relation to the Land Transport Rule: Street Layouts 2023

Ref	Clause	Powers, functions and duties delegated	Head of Communications & Engagement OR Head of Strategic Programmes Communication & Engagement	Event Planning Lead OR Road Corridor Requests Manager	Traffic Operations Manager	Traffic Control Committee
DA 34.1	2.1	Power to change the use of roadways, including: (a) power to prohibit or restrict through traffic by motor vehicles, or one or more classes of motor vehicle, at a given point on the roadway: (b) power to prohibit or restrict the use of one or more classes of motor vehicle (but not all motor vehicles) on the roadway (including an area or areas of roadway at or in the vicinity of an intersection between two or more roadways): (c) power to create a school street.			✓	✓
DA 34.2	2.3	Power to approve the placement, installation or removal certain objects on controlled roadway			✓	✓
DA 34.3	3.1	Power to approve the installation street layout changes as a pilot			✓	✓
DA 34.4	3.6	Power to approve the modification of pilot			✓	✓
DA 34.5	3.7	Power to alter feedback period	✓		✓	✓
DA 34.6	3.8	Power to end pilot early			✓	✓
DA 34.7	3.9	Power to authorise making a pilot permanent			✓	✓
DA 34.8	4.1	Power to authorise community streets		✓		✓
DA 34.9	4.2	Power to approve the modification of when a community street is authorised to operate		✓	✓	✓



SCHEDULE 35: Delegations in relation to the Activities in the Road Corridor Bylaw

Ref	Clause	Powers, functions and duties delegated	Group Manager Road Network Operations	Group Manager Strategic Programmes & Property	Group Manager Road Corridor Access & Coordination	Traffic Operations Manager	Technical Property Services Manager	Corridor Access Coordinator	Road Corridor Requests Manager	Compliance Auditor	Compliance Manager (CN)	Site Traffic Management Advisors	Temporary Traffic Monitoring Manager	Chief Engineer	Design & Standards Manager	Event Planning Lead	Group Manager Road Asset Maintenance & Renewals	Stakeholder Manager – Road Maintenance
DA 35.1	3(1)(a)	Power to approve entry to any part of the Auckland transport system that is closed to the public.				✓			✓		✓			✓		✓		✓
DA 35.2	3(1)(b)	Power to approve the placing or leaving any material, object, thing or structure; or erect, construct, or place a building, structure, tent on any part of the Auckland transport system.				✓	✓		✓					✓	✓	✓		
DA 35.3	3(1)(c)	Power to approve for the altering, damaging, removing, disturbing, misusing or interfering with any road, structure, utility asset, street furniture, artefact, surface, natural feature, grass plot, flowerbed, tree, shrub or plant in the Auckland transport system.				✓	✓		✓		✓			✓	✓	✓		
DA 35.4	3(1)(d)	Power to approve storing or packing goods; or loading or unloading in a place in the Auckland transport system other than in a designated place.				✓			✓		✓					✓		
DA 35.5	3(2)(a)	Power to approve allowing vegetation originating on private property to overhang the road in a manner that may cause an obstruction to other road users within the road corridor.				✓												
DA 35.6	3(2)(b)	Power to approve hanging a door, window, gate, swing crane arm, or load on any machinery on any premises capable of being swung over or across the road corridor.				✓	✓		✓									
DA 35.7	3(2)(c)	Power to approve eaves or other projections from any premises or structure that cause or permit run off drippings to fall within the road corridor.												✓				✓
DA 35.8	3(2)(d)	Power to approve an electrical cable or wire originating on private property to overhang or cross any part of the road corridor for the purposes of connection to an electric vehicle or devices.				✓	✓		✓		✓							
DA 35.9	3(2)(e)	Power to approve cleaning or leaving any animal offal on a road or any part of the road corridor.							✓									
DA 35.10	3(2)(f)	Power to approve the disposal of or washing of cement-based mix or waste onto any berm, verge or road margin or into any drain.							✓		✓							✓
DA 35.11	3(2)(g)	Power to approve the landing or take off in an aircraft on the Auckland transport system.				✓			✓							✓		Contract Managers where approved by the Stakeholder Manager
DA 35.12	4(1)(a)	Power to approve placing or collecting of any bin or other waste container in the road corridor in a way that is not in accordance with the Auckland Council Waste Management and Minimisation Bylaw 2019 or any equivalent bylaw regulating waste disposal.				✓		✓	✓									✓

Ref	Clause	Powers, functions and duties delegated	Group Manager Road Network Operations	Group Manager Strategic Programmes & Property	Group Manager Road Corridor Access & Coordination	Traffic Operations Manager	Technical Property Services Manager	Corridor Access Coordinator	Road Corridor Requests Manager	Compliance Auditor	Compliance Manager (CN)	Site Traffic Management Advisors	Temporary Traffic Monitoring Manager	Chief Engineer	Design & Standards Manager	Event Planning Lead	Group Manager Road Asset Maintenance & Renewals	Stakeholder Manager – Road Maintenance
DA 35.13	4(1)(b)	Power to approve placing a portable toilet or portable ablutions block, shipping container, storage container, or generator on the road corridor.						✓	✓							✓		✓
DA 35.14	4(3)(a)	Power to require a person to remove a non-complying portable toilet or portable ablutions block, shipping container, storage container, or generator within 24 hours of receiving the notice.	✓	✓					✓	✓	✓	✓	✓			✓		✓
DA 35.15	4(3)(b)	Power to arrange for the removal of a portable toilet or portable ablutions block, shipping container, storage container, or generator that has remained on the road corridor after being notified of requirement to remove it and charge the owner of the object for any costs incurred, including transportation, storage, administrative and inspection costs.							✓	✓	✓		✓					
DA 35.16	6(1)	Power to approve temporary traffic management and associated temporary traffic management plans for the Auckland transport system.						✓	✓				✓			✓		
DA 35.17	7(2)	Power to set requirements for approval applications under the Bylaw including: the form and manner of the application; the information in the application; any further supporting information; and whether the application must be accompanied by any specified fee.				✓	✓	✓	✓		✓					✓		
DA 35.18	7(7)	Power to consent to the transfer of an approval to another person				✓	✓	✓	✓							✓		
DA 35.19	7(8)	Power to cancel an approval for non-compliance with a condition of the approval.				✓	✓	✓	✓	✓	✓		✓			✓		
DA 35.20	8(1)	Power to review a previously granted approval.				✓	✓	✓	✓	✓	✓		✓			✓		
DA 35.21	8(2)	Power to cancel or amend a previously granted approval.				✓	✓	✓	✓	✓	✓		✓			✓		
DA 35.22	9(1)(a)	Power to provide approval for a person to enter or undertake any construction, replacement, modification or maintenance works within the road corridor.						✓	✓							✓		
DA 35.23	9(1)(b)	Power to provide approval for a person to place or leave, or cause or permit to be placed or left in, on or under a road any building material, rubbish, debris, soil, plant, equipment or other thing associated with or arising from any building activity.						✓	✓		✓							
DA 35.24	9(1)(c)	Power to provide approval for a person to place, put up or use on a road any scaffold, gantry, hoarding, barricade, stationary engine, concrete mixer, air compressor, crane, hoist or other machinery.						✓	✓							✓		
DA 35.25	9(1)(d)	Power to provide approval for a person to make or dig, or cause or permit to be made or dug, any hole or excavation in or under a road.						✓	✓									
DA 35.26	9(1)(e)	Power to provide approval for a person to blast any rock, stone, earth, timber, or other such material in, on, or near a road.						✓	✓									
DA 35.27	9(1)(f)	Power to provide approval for a person to construct foundations relating to the temporary support for any crane in, on or under a road.						✓	✓									



Ref	Clause	Powers, functions and duties delegated	Group Manager Road Network Operations	Group Manager Strategic Programmes & Property	Group Manager Road Corridor Access & Coordination	Traffic Operations Manager	Technical Property Services Manager	Corridor Access Coordinator	Road Corridor Requests Manager	Compliance Auditor	Compliance Manager (CN)	Site Traffic Management Advisors	Temporary Traffic Monitoring Manager	Chief Engineer	Design & Standards Manager	Event Planning Lead	Group Manager Road Asset Maintenance & Renewals	Stakeholder Manager – Road Maintenance
DA 35.28	9(1)(g)	Power to provide approval for a person to construct any foundation that projects beyond the boundary or building line into the road corridor, if the projection will injuriously prejudice the installation of underground services of any nature.						✓	✓									
DA 35.29	9(1)(h)	Power to provide approval for a person to carry out any work in the airspace above a road including: the demolition or removal of a building or part thereof above a plane of 45 degrees from the boundary with the road corridor; or the construction or alteration of any veranda, balcony or awning; or the transportation or moving of material.						✓	✓									
DA 35.30	10(1)(a)	Power to designate an application form for seeking approval for works or utilities works						✓	✓									
DA 35.31	15(1)(d)	Power to determine whether works have been completed to the satisfaction of Auckland Transport								✓	✓			✓	✓			✓
DA 35.32	15(4)	Power to determine that works have not been completed or that access has not been maintained for a third party so that Auckland Transport should cancel the permit and arrange for the works are completed, removed or remediated								✓	✓		✓					
DA 35.33	15(5)	Power to request that an applicant reimburse any costs and expenses incurred by Auckland Transport in exercising the power in cl 15(4)									✓		✓					
DA 35.34	15(7)	Power to determine that, as an approval holder has failed to promptly rectify defects occurring in the defect notification period, Auckland Transport will arrange for the defect to be rectified and the costs recovered from the approval holder									✓							
DA 35.35	15(8)	Power to commence proceedings to recover debts through the court			✓													
DA 35.36	16(1)	Power to specify the utility operator that new utility works must be vested to.							✓					✓				
DA 35.37	16(3)	Power to assign or novate any right, remedy, obligation or liability of Auckland Transport under or in connection with new utility works to a utility operator							✓					✓				
DA 35.38	16(4)	Power to take any action necessary to ensure an approval holder vests or transfers ownership of utility works as required by the Bylaw							✓					✓				
DA 35.39	18(1)	Power to determine that proposed works are unlikely to cause damage to the road and waive payment of a street damage post-works inspection fee.									✓							
DA 35.40	19(2)	Power to serve notice on an approval holder requiring that identified damage to the road or any structure in, on or under the road is repaired; specifying the work that needs to be done and the date by which it must be completed.							✓	✓	✓	✓	✓					
DA 35.41	19(3)	Power to arrange for repairs to defects identified within the warranty period of repairs undertaken by the approval holder and to recover the costs incurred.									✓							

Ref	Clause	Powers, functions and duties delegated	Group Manager Road Network Operations	Group Manager Strategic Programmes & Property	Group Manager Road Corridor Access & Coordination	Traffic Operations Manager	Technical Property Services Manager	Corridor Access Coordinator	Road Corridor Requests Manager	Compliance Auditor	Compliance Manager (CN)	Site Traffic Management Advisors	Temporary Traffic Monitoring Manager	Chief Engineer	Design & Standards Manager	Event Planning Lead	Group Manager Road Asset Maintenance & Renewals	Stakeholder Manager – Road Maintenance
DA 35.42	19(4)	Power to arrange for reinstatement or repair works to be carried out if the approval holder fails to do so within set time period and to recover the costs incurred.									✓							
DA 35.43	19(5)	Power to commence proceedings to recover debts through the court			✓													
DA 35.44	21	Power to authorise a road encroachment by providing an approval.				✓	✓										✓	
DA 35.45	22	Power to include the imposition of conditions on encroachment approvals				✓	✓										✓	
DA 35.46	23(2)	Power to determine that an approved encroachment object is not compliant and serve a notice requiring the approval holder or the owner of the object to clean, repair, pull down, alter or remove the object within a period of time specified in the notice.				✓	✓			✓	✓	✓	✓					
DA 35.47	23(3) and (4)	Power to determine that because an approval holder has failed to comply with a notice regarding a non-compliant encroachment object that Auckland Transport will arrange for the object to be cleaned, repaired, pulled down, altered or removed and subsequently to be released to owner upon recovery of any costs incurred				✓	✓				✓		✓					
DA 35.48	24(1)	Power to serve notice on the approval holder for an approved encroachment object to require temporary removal of the object to enable construction work to take place near to or beneath that object				✓	✓			✓	✓	✓						
DA 35.49	24(4)	Power to alter the specification, location or conditions related to an encroachment approval before the reinstatement of temporarily removed approved encroachment object.				✓	✓			✓		✓						
DA 35.50	25	Power to authorise an airspace asset encroachment by providing an approval (subject to any necessary conditions).					✓										✓	
DA 35.51	26(3)	Power to determine that an approved airspace asset encroachment is not compliant and serve a notice requiring the owner of the airspace asset or the building it is attached to clean, repair, pull down, alter or remove the airspace asset within a period of time specified in the notice.				✓	✓			✓	✓	✓	✓					
DA 35.52	26(4)	Power to determine that because a person has failed to comply with a notice regarding a non-compliant airspace asset encroachment that Auckland Transport will arrange for the airspace asset to be cleaned, repaired, pulled down, altered or removed and to be released to owner upon recovery of any costs incurred.				✓	✓				✓		✓					
DA 35.53	27(1)	Power to serve notice on the owner of an approved airspace asset encroachment or the building it is attached to requiring temporary removal of the airspace asset to enable construction work to take place near to or beneath that structure.				✓	✓				✓							
DA 35.54	27(4)	Power to determine that before a temporarily removed airspace asset is reinstated that the width of that structure must be reduced for legal compliance or safety reasons.				✓	✓				✓							
DA 35.55	28	Power to determine that it is likely that vehicles will be taken onto or from land across a footpath, shared path, cycle path, berm, verge or				✓		✓	✓	✓	✓		✓			✓		



Ref	Clause	Powers, functions and duties delegated	Group Manager Road Network Operations	Group Manager Strategic Programmes & Property	Group Manager Road Corridor Access & Coordination	Traffic Operations Manager	Technical Property Services Manager	Corridor Access Coordinator	Road Corridor Requests Manager	Compliance Auditor	Compliance Manager (CN)	Site Traffic Management Advisors	Temporary Traffic Monitoring Manager	Chief Engineer	Design & Standards Manager	Event Planning Lead	Group Manager Road Asset Maintenance & Renewals	Stakeholder Manager – Road Maintenance
		any water channel on or adjoining any road so as to require a vehicle crossing.																
DA 35.56	29(1)	Power to approve the construction, modification, widening, relocation, or removal of a vehicle crossing							✓	✓	✓							
DA 35.57	29(8)	Power to require the owner of land serviced by an unsafe, poorly maintained, redundant or unused vehicle crossing to repair, replace or remove that vehicle crossing including reinstatement of any berm, verge, footpath, or surface drainage				✓			✓	✓	✓							✓
DA 35.58	29(9)	Power to arrange for the repair, replacement or removal of a vehicle crossing if work to do so is not done within the required time frame and to recover any costs incurred				✓			✓		✓							✓
DA 35.59	31(2)	Power to determine that a person must cease the placement or entry of livestock within the road corridor because of a danger to road users or damage to the road corridor			✓	✓			✓	✓	✓							
DA 35.60	33	Power to approve that a person may within the road corridor graze or tether livestock, place a beehive, erect wires or fences or use any other means of confining livestock.							✓									
DA 35.61	34(1)	Power to adopt new requirements for the movement of livestock across roads to replace section 5 of CoPTTM in the Auckland Region	✓		✓													
DA 35.62	34(2)	Power to approve movement of livestock across a road in a manner that does not comply with requirements in clause 34(1).							✓									
DA 35.63	34(3)	Power to determine that something is a recognised traditional cultural practice related to moving livestock across a road.			✓													
DA 35.64	35(1)	Power to adopt new requirements for the movement of livestock along roads to replace section 5 of CoPTTM in the Auckland Region	✓		✓													
DA 35.65	35(2)	Power to approve movement of livestock along a road in a manner that does not comply with requirements in clause 35(1).							✓									
DA 35.66	35(3)	Power to determine that that something is a recognised traditional cultural practice related to moving livestock along a road.			✓													
DA 35.67	36(4)	Power to suspend an approval for the movement of livestock across or along a road during a period when a road is under repair or reconstruction.				✓		✓	✓		✓		✓					✓ Contract Managers where approved by the Stakeholder Manager
DA 35.68	37(1)	Power to grant an approval for trading, holding and event or filming in the road corridor					✓	✓	✓							✓		

Ref	Clause	Powers, functions and duties delegated	Group Manager Road Network Operations	Group Manager Strategic Programmes & Property	Group Manager Road Corridor Access & Coordination	Traffic Operations Manager	Technical Property Services Manager	Corridor Access Coordinator	Road Corridor Requests Manager	Compliance Auditor	Compliance Manager (CN)	Site Traffic Management Advisors	Temporary Traffic Monitoring Manager	Chief Engineer	Design & Standards Manager	Event Planning Lead	Group Manager Road Asset Maintenance & Renewals	Stakeholder Manager – Road Maintenance
DA 35.69	43(2)	Power to determine to take action against a person who fails to comply with an approval, a condition of an approval, or who provided materially inaccurate information in applying for an approval.			✓													
DA 35.70	44(1)	Power to determine to take enforcement action against a person who breaches the bylaw.	✓	✓	✓													

SCHEDULE 36: Delegations in relation to the Signs Bylaw

Ref	Section	Powers, functions and duties delegated	Traffic Operations Manager	Road Corridor Requests Manager
DA 36.1	30(4)	Suspend a control if a location becomes temporarily unsuitable (for example due to road works).	✓	✓

SCHEDULE 37: Delegations in relation to the Navigation Bylaw 2021

Ref	Clause	Powers, functions and duties delegated	Harbourmaster
DA 37.1	10	All powers and duties of the Harbourmaster under this bylaw	✓



Part F: Delegations Relating to the Traffic Control Committee

ATD 2019/05: Board delegations to the Traffic Control Committee

PURSUANT TO section 54 of the Local Government (Auckland Council) Act 2009, the Auckland Transport Board –

DELEGATES to the established Traffic Control Committee:

- (a) the powers, duties and functions of Auckland Transport under the legislative provisions specified in the attached Schedules; and
- (b) the power to make resolutions pursuant to bylaws:
 - (i) made by Auckland Transport under any enactment referred to in section 46(1) of the Local Government (Auckland Council) Act 2009;
 - (ii) deemed to have been made by Auckland Transport under section 61 of the Local Government (Auckland Transitional Provisions) Act 2010;
 - (iii) made by Auckland Council and delegated to Auckland Transport under section 45(d) of the Local Government (Auckland Council) Act 2009;

THESE DELEGATIONS TAKE EFFECT on the date they are resolved to be made by the Board and continue in effect until revoked by resolution of the Board.

All previous delegations of powers, duties and functions to the Traffic Control Committee (by the Board or Chief Executive) are revoked from the date these delegations take effect. For the avoidance of doubt, nothing in these delegations affects the Chief Executive's appointment of members to the Traffic Control Committee under ATD 2019/04.



SCHEDULE 1: DELEGATIONS IN RELATION TO THE HEAVY MOTOR VEHICLE REGULATIONS 1974

Delegation Ref	Provision	Description of powers, functions and duties delegated
TCC1.1	clause 10(3)	Power to prohibit absolutely or conditionally the use on any specified road of heavy motor vehicles or of any specified class of heavy motor vehicle during any specified period or series of periods.
TCC1.2	clause 10(4)	Power to prohibit the use on a road of heavy motor vehicles which exceed a specified axle weight.
TCC1.3	clause 11(3)	Power to fix weight and speed limits in respect of bridges.
TCC1.4	clause 11(10)	Power to decide that any mass limits or speed limits fixed with respect to any bridge are no longer necessary by reason of repairs to the bridge or for any other sufficient reason.

SCHEDULE 2: DELEGATIONS IN RELATION TO THE LAND TRANSPORT ACT 1998

Delegation Ref	Provision	Description of powers, functions and duties delegated
TCC2.1	section 16A	Power to decide to temporarily close a road or part of a road (for a period of no more than 6 months) to heavy traffic or any specified kind of heavy traffic.

SCHEDULE 3: DELEGATIONS IN RELATION TO THE LAND TRANSPORT RULE: SETTING OF SPEED LIMITS 2022

Delegation Ref	Provision	Description of powers, functions and duties delegated
TCC3.1A		Power to set speed limits (other than temporary speed limits)
TCC3.1	7.1	Power to set a temporary speed limit.

SCHEDULE 4: DELEGATIONS IN RELATION TO THE LAND TRANSPORT RULE: TRAFFIC CONTROL DEVICES 2004

Delegation Ref	Provision	Description of powers, functions and duties delegated
TCC4.1	clause 2.1(1)	Duty of road controlling authority to authorise installation, operation and removal of traffic control devices if required by or under this rule or other enactment.
TCC4.2	clause 2.1(2)	Power of road controlling authority to authorise installation, operation and removal of traffic control devices if desirable for the guidance of traffic or to draw attention to a requirement that controls traffic; or to provide information to road users.



SCHEDULE 5: DELEGATIONS IN RELATION TO THE LOCAL GOVERNMENT ACT 1974

Delegation Ref	Provision	Description of powers, functions and duties delegated
TCC5.1	section 319(1)(f)	Power to determine what part of a road shall be carriageway, and what part a footpath or cycle track only.
TCC5.2	section 330(4)	Power to (in the manner provided in Schedule 13 of the Act) fix the level of a road (including hearing and deliberating on objections to proposed road levels).
TCC5.3	section 332	Power to authorise the formation of a public cycle track.
TCC5.4	section 333	Power to authorise installation, nature and removal of barriers, dividing strips, guiding or sign posts, pillars or other markers, trees, lawns, gardens, and other devices and the installation, alteration or removal of any segregation strip.
TCC5.5	section 334	Power to authorise installation of pedestrian safety areas; grass plots or flower beds or trees; monuments, statues, or similar structures; and facilities for the safety, health, or convenience of the public, or for the control of traffic or the enforcement of traffic laws.
TCC5.6	section 336	Power, by using the special consultative procedure, to declare a specified road or part of a specified road to be a pedestrian mall (including hearing and deliberating on submissions under the special consultative procedure).
TCC5.7	section 339	Power to authorise the erection on the footpath of any road a transport shelter (including hearing and deliberating on objections under section 339 of the Act).
TCC5.8	section 342(1)(b)	Power to (in the manner provided in Schedule 10 of the Act) temporarily close a road or part of a road to any traffic or any specified type of traffic (including pedestrian traffic) and impose or permit the imposition of charges as provided for in Schedule 10 of the Act.
TCC5.9	section 344	Power to authorise the erection of a swing gate and/or a cattle stop across any road in the manner provided in section 344 of the Act (including hearing and deliberating on objections to an intention to remove a swing gate or cattle stop under section 344 of the Act).
TCC5.10	section 591 (except subsection (1)(a))	Power to designate roads, buildings or land as parking places, parking buildings or transport stations and to specify the use of transport stations exclusively for particular transport services.

APPOINTMENT & VARIATION OF TRAFFIC CONTROL COMMITTEE MEMBERS



ATD 2023/03

Chief Executive's change to membership of Traffic Control Committee

PURSUANT TO the powers delegated to me by the Auckland Transport Board under section 54 of the Local Government (Auckland Council) Act 2009, I, Dean Kimpton, Chief Executive of Auckland Transport:

REVOKE:

the appointment of all current members of the Traffic Control Committee.

APPOINT:

the following as members of the Traffic Control Committee:

- Group Manager Parking Services
- Group Manager Road Corridor Access & Coordination
- Transport Design & Standards Manager
- Infrastructure & Fleet Specifications Manager
- Group Manager Network Operations Planning
- Group Manager Road Network Operations

THIS DELEGATION INSTRUMENT TAKES EFFECT on and from 1 December 2023 and will continue in effect until it is revoked or amended in writing by me (or any subsequent Chief Executive of Auckland Transport), or the Auckland Transport Board.

Signed:



Dean Kimpton
Chief Executive, Auckland Transport

Date:

13/12/2023

Part G: Delegations between Auckland Transport and Auckland Council

AUCKLAND TRANSPORT BOARD DELEGATION TO AUCKLAND COUNCIL IN RELATION TO STREET TRADING AND OTHER ACTIVITIES IN PUBLIC PLACES

The Auckland Transport Board delegates to Auckland Council the power to:

- Administer and enforce bylaws made or deemed to have been made by Auckland Transport to the extent that they apply to street trading and other activities in public places, and to signs (except traffic control devices), billboards and hoarding on, or visible from, the Auckland Transport System;
- Set, charge, collect and retain fees or charges as described in section 150 of the Local Government Act 2002 in respect of any matter provided for in an Auckland Transport bylaw, provided that the administration and enforcement of that particular Auckland Transport bylaw has been delegated to Auckland Council; and
- The power to set, charge, collect, and retain non-regulatory rental fees being a charge for use of public space in respect of street trading within the Auckland Transport System.

For the avoidance of doubt, the power to specify election sites is retained by Auckland Transport.

This delegation takes effect immediately and revokes the delegation made by the Auckland Transport Chief Executive to Auckland Council dated 2 April 2015.

Appendix 1: Auckland Transport Delegation Instrument 2015 ATD12015/01

Further to the delegation on 2 April 2015 made from the Chief Executive of Auckland Transport to Auckland Council:

The Auckland Transport Board delegates to Auckland Council the power to make resolutions under bylaws made or deemed to have been made by Auckland Transport to the extent that they apply to street trading and other activities in public places, and to signs (except traffic control devices), billboards and hoardings on, or visible from, the Auckland Transport System.

This delegation excludes:

- (a) the power to make a resolution specifying sites over a road or public place that may be used for the display of horizontal banners and specify controls for such banners under the Auckland Transport and Auckland Council Signage Bylaw 2015; and
- (b) the power to make resolutions to specify election sites under the Auckland Transport Election Signs Bylaw 2013.

For the avoidance of doubt, this delegation does not affect the delegation made by the Chief Executive of Auckland Transport on 2 April 2015.

This delegation takes effect immediately and remains in force until further notice and may be reviewed or revoked by Auckland Transport at any time.



AUCKLAND COUNCIL DELEGATION TO AUCKLAND TRANSPORT IN RELATION TO PARKING OF VEHICLES OFF A ROADWAY

DELEGATION INSTRUMENT – Parking of vehicles off a roadway

Reference number: 2017/336


I, Stephen Michael Town, Chief Executive, Auckland Council, hereby delegate to Auckland Transport the responsibilities, duties and powers delegated to me by Auckland Council in relation to the enforcement of clause 11 of the Auckland Council Traffic Bylaw 2015 (parking vehicles off a roadway) as it applies to all roads and parking places under the control of Auckland Council.

This delegation is subject to the following conditions:

- Auckland Transport must exercise all functions, powers and responsibilities in accordance with relevant statutory provisions.
- Auckland Transport will bear all costs associated with exercising this delegated authority, except for the costs of signage in local and regional parks, libraries and community facilities, which will be met by Council.
- Auckland Transport may retain any revenue associated with exercising this delegated authority. However, if a surplus is generated from this activity then Auckland Transport must use the surplus to offset its annual total funding requirement from Auckland Council.
- Auckland Transport must provide a biannual report to the appropriate Council manager in relation to the use of these delegated powers (when requested to do so).

For the avoidance of doubt, this delegation:

- includes any ancillary responsibilities, duties or powers necessary to give effect to this delegation.
- does not oblige Auckland Transport to take any enforcement action.


Stephen Michael Town
Chief Executive
Auckland Council

13/12/17
Date



AUCKLAND COUNCIL DELEGATION TO AUCKLAND TRANSPORT IN RELATION TO THE PUBLIC WORKS ACT 1981

DELEGATION INSTRUMENT

Reference number: 2016/73

I, Stephen Michael Town, Chief Executive, Auckland Council, hereby delegate or sub-delegate, as the case may be, separately to each person who for the time being performs the role of Chief Executive or of Group Manager Property and Planning of Auckland Transport (AT) my responsibilities, duties and powers and the responsibilities, duties and powers delegated to me by the Auckland Council (together the powers) under the Public Works Act 1981 (PWA), excluding any powers relating to the disposal of land, and subject to the conditions below.

Without limiting the previous paragraph, the powers being delegated include the power to commit the Council to financial transactions relating to the acquisition of property (real estate) or interests in property, and to make decisions about, and sign (in the Council's name) the relevant agreements, notices, certificates, consents or requests for, the following under the PWA:

- Agreements under section 17;
- Compensation certificates and discharges of those certificates under section 19;
- Notices under sections 18 and 23;
- Requests for a Proclamation under section 26;
- Notices under sections 110 and 111;
- Consent to the vesting of roads under section 114 (excluding consent under subsections 114(2)(b) or 114(2)(i));
- Certificates and notices of discharge under section 115;
- Consent to the stopping of roads under section 116.

This delegation is subject to the following conditions:

1. In exercising this delegated authority, the delegate is at all times under the control of Auckland Council, through me as its Chief Executive and is, where relevant, an officer of the Council and/or designated my deputy for those purposes.
2. The powers may only be exercised in relation to the Auckland transport system and/or for a transport-related purpose.
3. The powers in relation to the acquisition of land may be exercised only where AT is satisfied that the transaction is not inconsistent with the Council's Long Term Plan.
4. The powers in relation to the acquisition of land under the PWA may only be exercised where the Council has received notice from AT under section 48(4) of the Local Government (Auckland Council) Act 2009 (LGACA) in relation to that land, or where AT has agreed to the acquisition of that land (refer section 51 of the LGACA).
5. This delegation cannot be sub-delegated.

AT shall provide to the Council every 3 months a report which includes details of:

- (a) each transaction entered into or other action taken in the exercise of the powers delegated under this instrument, during the previous 3 month period;
- (b) each transaction or other action anticipated in the exercise of the powers delegated under this instrument, in the following 3 month period;

- (c) any compulsory acquisitions which AT anticipates it may request or require the Council to carry out in the following 3 month period.


Stephen Michael Town
Chief Executive
Auckland Council
26/2/16
Date

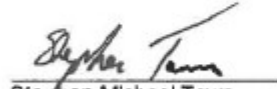
AUCKLAND COUNCIL DELEGATION TO AUCKLAND TRANSPORT GENERAL COUNSEL IN RELATION TO NOTICE TO ACQUIRE LAND

DELEGATION INSTRUMENT

Reference number: 2016/74

I, Stephen Michael Town, Chief Executive, Auckland Council, hereby delegate to each person who for the time being performs the role of General Counsel of Auckland Transport (AT) the power to receive, on behalf of Auckland Council, notice from AT of its decision to apply for the compulsory acquisition of land, or of a deemed agreement, under section 48(4) of the Local Government (Auckland Council) Act 2009.

This delegation cannot be sub-delegated.



Stephen Michael Town
Chief Executive
Auckland Council

26/2/16
Date



AUCKLAND COUNCIL DELEGATIONS TO AUCKLAND TRANSPORT IN RELATION TO MARITIME FUNCTIONS (HARBOURMASTER)

Regulatory Agreement
relating to the
Harbourmaster Business Unit

Auckland Council
Council

Auckland Transport
Auckland Transport

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Contents

1	Interpretation	3
2	Delegation of Maritime Functions	4
3	Licences and fees/charges.....	5
4	Operational line of authority	6
5	Amendment or Revocation of Delegation	8
6	Retained Functions	9
7	Service Level Agreement	9
8	Miscellaneous	10
9	Governing law	10
	Schedule 1 – Maritime Functions	11
	Schedule 2 – Retained Functions	15



Parties

Auckland Council (Council)

Auckland Transport (Auckland Transport)

the parties and each of them a party.

Introduction

- A On or about the date hereof, the parties have entered or shall enter into a memorandum of understanding (MOU) pursuant to which the Council has agreed to transfer to Auckland Transport, and Auckland Transport has agreed to take a transfer of, the Business Unit and the Assets in accordance with the MOU.
- B In connection with such transfer, the Council has elected to delegate to Auckland Transport certain of the Maritime Functions in accordance with the terms set out in this Agreement, which constitutes the Regulatory Agreement as defined in the MOU.

IT IS HEREBY AGREED as follows:

1 Interpretation

- 1.1 In this Agreement, unless otherwise expressly defined herein, initially-capitalised terms shall have the meanings given to them in the MOU. Furthermore, unless the context otherwise requires:
- (a) **Act** means the Maritime Transport Act 1994;
 - (b) **Agreement** means this agreement, including the Introduction section above and the Schedules;
 - (c) **Delegated** means delegated by the Council to Auckland Transport pursuant to a Delegation;
 - (d) **Maritime Functions** has the meaning given to it in clause 2;
 - (e) **Officers** means any and all persons employed from time to time by Auckland Transport for the purposes of the Business Unit who are appointed as enforcement officers by the Council;
 - (f) **Region** shall mean Auckland as defined in the Local Government (Auckland Council) Act 2009 and **Regional** shall be construed accordingly;
 - (g) **Representative** has the meaning given to it in clause 4.2;
 - (h) **Retained Functions** means all those functions specified in Schedule 2 which shall be retained by the Council in accordance with this Agreement;

- (i) **Service Level Agreement** means the service level agreement to be entered into by the parties in accordance with clause 5.2; and

- (j) **Transfer** has the meaning given to it in the Introduction section above.

- 1.2 Clause 1.2 of the MOU is hereby incorporated by reference and shall apply to this Agreement as if references to the MOU are references to this Agreement.

2 Delegation of Maritime Functions

- 2.1 This Agreement records that the Council has delegated to Auckland Transport with effect from the Completion Date certain functions to be exercised in the Region as set out below (collectively the **Maritime Functions**):
- (a) Regional council functions to regulate the ports, harbours, and waters in their region;
 - (b) Regional council functions in respect of land, building, equipment or other property that is owned by council and operated for maritime-related purposes;
 - (c) Regional council functions performed, exercised, or provided by the council in respect of any ship, maritime facility, offshore installation, pipeline, oil transfer site, navigational aid, or marine farm;
 - (d) Regional council functions in respect of maritime related activities;
 - (e) Regional council functions in respect of navigation generally; and
 - (f) The specific functions set out in Schedule 1,
- provided that the Maritime Functions shall not include any Retained Functions.
- 2.2 The parties intend that such Delegation shall be permanent, unless the Council determines to revoke the Delegation of any Maritime Function, at its sole discretion.
- 2.3 If at any time after the Delegation, the Council is required (including by law or pursuant to any memorandum of understanding, contract or other agreement or other arrangement) to provide any Maritime Functions which have been Delegated to Auckland Transport, Auckland Transport will do all things reasonably necessary to assist the Council and/or require the Business Unit to provide the Maritime Functions.
- 2.4 Auckland Transport shall (and shall direct its Employees to) perform the Maritime Functions in accordance with all applicable laws, including the statutory provisions applicable to the Maritime Function being exercised.
- 2.5 To the extent that any additional requirements or obligations relating to the performance of the Maritime Functions are notified to the Council by Maritime New Zealand or other governmental or regulatory body, the Council shall and shall procure that its Representative shall promptly notify Auckland Transport in writing regarding the nature and scope of such additional requirements or obligations, and Auckland Transport shall (and shall direct its Employees to) perform those Maritime Functions in accordance with such additional requirements or obligations.

- 2.6 The parties acknowledge that the Council has certain entitlements (including the right to reimbursement from proceeds of sale, to reimbursement and/or recovery and/or receipt of costs or expenses, to infringement fees, to receive any damages and any entitlement to proceeds of disposal) relating to or resulting from the performance of the Maritime Functions (the Entitlements). The parties acknowledge and agree that Auckland Transport may exercise such Entitlements for its own benefit without being required to account to the Council therefor, and the Council hereby waives its right to receive the Entitlements from Auckland Transport.
- 2.7 The parties acknowledge that certain statutory provisions (including section 328 of the Act) grant to certain employees of the Council, and any regional on-scene commander (as provided for in that Act), the power to enter into certain contracts for and on behalf of the Council (each an MTA Contract) and that, such power being personal to the relevant employee or commander (as applicable), cannot be Delegated. Auckland Transport shall:
- (a) itself report to the Council the full circumstances surrounding any exercise of such power by any of its Employees at the next meeting to occur under clause 4.3;
 - (b) direct the relevant Employee(s) to fulfil any statutory obligations to report to the Council the full circumstances of any exercise of such power; and
 - (c) indemnify the Council for any costs or other liability arising out of or in connection with any MTA Contract so entered into by its Employee(s) for and on behalf of the Council pursuant to the exercise of such power.
- 2.8 If at any time after the Delegation, the Business Unit requires assistance from the Council's personnel (including for responding to a Tier 2 oil spill) to provide any Maritime Functions:
- (a) Auckland Council will do all things reasonably necessary to ensure such personnel are available to assist the Business Unit to provide the Maritime Functions; and
 - (b) where Auckland Transport is entitled to recover the costs for providing the relevant Maritime Functions, Auckland Transport will recover the costs and will pay an appropriate proportion of these costs to the Council for providing its personnel in accordance with this clause.

3 Licences and fees/charges

- 3.1 Auckland Transport shall invoice and collect, and shall be entitled to retain for its own benefit, all fees and charges prescribed by the Council pursuant to section 33R of the Act subject to clauses 3.2 and 3.3.
- 3.2 The parties acknowledge that the Moorings are not included in the Assets to be transferred to Auckland Transport under the MOU. Auckland Transport hereby waives any entitlement to receive any licence fee from the Council in relation to the Moorings.
- 3.3 The parties acknowledge and agree that:
- (a) Collection of the annual Licence fees for the period:

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5

- (i) prior to and including 30 June 2015 will be the responsibility, cost and risk of the Council and any payments so collected will be for the account of the Council.
 - (ii) after 30 June 2015 will be the responsibility, cost and risk of Auckland Transport and any payments so collected will be for the account of Auckland Transport.
- (b) to the extent that:
- (i) Auckland Transport receives any payments in respect of:
 - (A) any Monies Receivable (except the annual Licence fees) in respect of the period prior to the Completion Date; and
 - (B) the annual Licence fees in respect of the period prior to and including 30 June 2015,
 such payments will be received on trust for the Council and be paid to or as directed by the Council on receipt by Auckland Transport.
 - (ii) the Council receives any payments in respect of:
 - (A) any Monies Receivable (except the annual Licence fees) in respect of the period after the Completion Date; and
 - (B) the annual Licence fees in respect of the period after 30 June 2015,
 such payments will be received on trust for Auckland Transport and be paid to or as directed by Auckland Transport on receipt by the Council.

- 3.4 The parties acknowledge that the Harbourmaster has functions under the Council Navigation and Safety Bylaw 2014 and Controls, including the power to issue, administer and enforce the Licences, and that:

- (a) the Harbourmaster will continue to do so following Completion, albeit as an employee of Auckland Transport;
- (b) for the period prior to the Completion Date, the Council will be entitled to all fees and charges payable under, and liable for all costs incurred in respect of, such functions (including the Licences); and
- (c) for the period on and from the Completion Date, Auckland Transport will be entitled to all fees and charges payable under, and liable for all costs incurred in respect of, such functions (including the Licences).

4 Operational line of authority

- 4.1 The parties hereby acknowledge and agree that the Council retains legal responsibility for the appropriate exercise of the Maritime Functions notwithstanding the Delegation and that Auckland Transport will be accountable to the Council for such exercise. The parties

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6



therefore wish to manage their joint legal responsibility for the ongoing exercise of the Maritime Functions by establishing an operational line of authority between the Council and Auckland Transport in accordance with this clause 4.

4.2 The parties shall from time to time by notice in writing each appoint a representative (**Representative**) who shall be the principal point of contact at the party for the purposes of this Agreement and the parties' reporting obligations hereunder.

4.3 The Representatives shall meet no less frequently than once every three months (and/or at such other times as the Representatives may from time to time agree), including so as to finalise the Service Level Agreement in accordance with clause 7.2, or as one Representative may notify the other in accordance with clause 4.4(b)(ii)) so as to enable the parties to:

- (a) consider and resolve any issues arising out of or in connection with the parties' respective obligations hereunder and/or under the Delegation;
- (b) review and consider and resolve any issues arising out of or in connection with the performance by Auckland Transport of the Maritime Functions and by the Council of the Retained Functions in accordance with the Service Level Agreement;
- (c) consider any report by Auckland Transport in accordance with clause 2.7(a); and
- (d) consider and resolve any issues arising out of or in connection with the termination or appointment of the Harbourmaster or Employee in accordance with clause 5.2;
- (e) consider and resolve any conflicts in accordance with clause 4.4(b); and
- (f) consider and resolve any concerns raised by the Council regarding the exercise of any of the Maritime Functions by the Harbourmaster and/or the Business Unit, provided always that Auckland Transport shall use its best endeavours to address any concerns so raised in such manner as the Council may reasonably require.

The Council's Representative may require (and Auckland Transport shall procure, where so required) the attendance of any Employee at such meetings to report on such matters as the Council may reasonably require.

4.4 Auckland Transport hereby acknowledges and agrees that, notwithstanding that the Harbourmaster and the Officers are employees of Auckland Transport and as such may have reporting obligations to Auckland Transport:

- (a) the Harbourmaster has statutory reporting obligations to the Council, the fulfilment of which shall not constitute a breach of the Harbourmaster's contract of employment. Auckland Transport hereby waives the requirements of such contract of employment to the extent they restrict or prevent the Harbourmaster from fulfilling such statutory obligations; and
- (b) the Council retains statutory oversight of each Officer to the extent that such Officer uses any of the powers provided to him under the relevant statutory warrant issued by the Council. The parties acknowledge and agree that:

- (i) each party shall use its reasonable endeavours to avoid any conflict between the Council's oversight obligations and Auckland Transport's rights as employer of the relevant Officer; and
- (ii) where one party reasonably believes such a conflict may arise or has arisen, its Representative may convene a meeting of the Representatives and both parties shall meet and endeavour through good faith negotiations to resolve such conflict.

4.5 Auckland Transport shall (a) report to the Council from time to time full details of any circumstances associated with the exercise by an Employee of a statutory warrant issued by the Council which may give rise to any liability for the Council and (b) direct its Employee(s) to report such details to the Council.

5 Amendment or Revocation of Delegation

5.1 The Council (at its sole discretion) may at any time revoke all or part of the Maritime Functions Delegated to Auckland Transport. If the Council decides to revoke a Delegation:

- (a) the Council will give Auckland Transport reasonable prior written notice of its decision to revoke such Delegation;
- (b) if the revocation is of part only (but not all) of the Maritime Functions, the parties will amend Schedule 1 as necessary and the Council shall issue such new or amended Delegations as applicable;
- (c) if the revocation is of all of the Maritime Functions, the parties will enter into a memorandum of understanding on terms and conditions similar to the MOU (to the extent applicable) to provide for the transfer from Auckland Transport to the Council of the Business Unit and the Assets; and
- (d) the parties will do all things reasonably necessary to give effect to the revocation and transfer.

5.2 The Council (at its sole discretion) may at any time and from time to time delegate other functions (including any Retained Functions that can be delegated) to Auckland Transport or amend any Maritime Function Delegated to Auckland Transport. If the Council decides to delegate other functions or amend such Delegation:

- (a) the Council will give Auckland Transport reasonable prior written notice of its decision;
- (b) the parties will amend Schedules 1 and 2 as necessary and the Council shall issue such new or amended Delegations as applicable; and
- (c) the parties will do all things reasonably necessary to give effect to the revocation and transfer.

6 Retained Functions

- 6.1 The parties acknowledge and agree that the Council, notwithstanding the Transfer, shall retain with effect from the Completion Date legal responsibility for the Retained Functions.
- 6.2 To the extent that the contract of employment of the Harbourmaster or of any Employees terminates for any reason, or Auckland Transport appoints additional Employees to the Business Unit:
- (a) Auckland Transport shall notify the Council in writing of any such termination or appointment (as applicable), together with such details as the Council may reasonably require in relation to such termination, each new Employee or any proposed candidates for appointment as Harbourmaster; and
 - (b) the Council shall consider any new Employee appointed or any candidates proposed by Auckland Transport for appointment as Harbourmaster and, in consultation with Auckland Transport, issue such warrants or make such appointments as the Council may in its sole and absolute discretion determine.

7 Service Level Agreement

- 7.1 The parties acknowledge and agree that:
- (a) the effect of the delegation to Auckland Transport under this Agreement is that responsibility for delivery of the Maritime Functions will be undertaken by a different entity (Auckland Transport) from that responsible for governance (the Council); and that
 - (b) under section 17A(5) of the Local Government Act 2002, the Council must ensure that there is a contract or other agreement (the **Service Level Agreement**) that clearly specifies:
 - (i) the required service levels;
 - (ii) the performance measures and targets to be used to assess compliance with the required services levels;
 - (iii) how performance is to be assessed and reported;
 - (iv) how the costs of delivery are to be met;
 - (v) how any risks are to be managed;
 - (vi) what penalties for non-performance may be applied; and
 - (vii) how accountability is to be enforced.
- 7.2 The parties shall procure that their respective Representatives meet as soon as reasonably practicable after the Completion Date to negotiate in good faith the terms (including at a minimum those terms set out in clause 7.1(b)) of the Service Level Agreement, with the intent that the parties shall execute the Service Level Agreement no later than two (2) months after the Completion Date.

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9

8 Miscellaneous

- 8.1 Clauses 13 and 15 to 25 inclusive of the MOU are hereby incorporated by reference and shall apply to this Agreement with the necessary modifications.

9 Governing law

- 9.1 This Agreement will be governed by, and construed in accordance with, the laws of New Zealand.
- 9.2 The parties hereby submit to the exclusive jurisdiction of the courts of New Zealand in respect of all matters arising out of this Agreement.

Execution

Signed by and on behalf of Auckland Council


Stephen Town
Chief Executive Officer

Signed by and on behalf of Auckland Transport


David Warburton
Chief Executive Officer

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10



Schedule 1 – Maritime Functions

Maritime Transport Act 1994 ("MTA")	Relevant Provision
For the purposes of ensuring maritime safety, Auckland Transport may on behalf of Council — (a) erect, place, and maintain navigational aids in accordance with maritime rules (if any); (b) remove obstructions and impediments to navigation; (c) execute and maintain works that it considers likely to improve navigation.	s33I, MTA
For the purposes of ensuring maritime safety, Auckland Transport may on behalf of Council— (a) erect and maintain quays, docks, piers, wharves, jetties, and launching ramps; (b) carry out other works for improving, protecting, managing, or utilising the waters within its district; (c) carry out works to prevent the encroachment of waters within its district.	s33I, MTA
Auckland Transport may on behalf of Council: (a) require the owner of the wreck, or an agent of the owner, to remove the wreck within a time and in a manner satisfactory to Auckland Transport; (b) destroy, dispose of, remove, dispose of, remove, take possession of, or sell a wreck (or any part of it).	s33J, MTA
Auckland Transport shall on behalf of Council in relation to a wreck in the Region that is a hazard to navigation comply with a request from the Director of Maritime New Zealand to the Council to— (a) remove or deal with a wreck; or (b) cause the owner (of the wreck), or an agent of the owner to remove the wreck.	s33K, MTA
Auckland Transport may on behalf of Council remove, store, sell, or otherwise dispose of an abandoned ship.	s33L, MTA
Auckland Transport will be entitled on behalf of Council to retain all infringement fees received by it in respect of infringement offences issued by a harbourmaster or an enforcement officer under section 330 of the Maritime Transport Act 1994.	s33O, MTA
Auckland Transport may on behalf of Council exercise any powers, duties and functions associated with recovering and enforcing payment of fees and charges in respect of: (a) property owned by Council and operated for maritime-related purposes; (b) any function, duty, power, or service performed on behalf of Council in respect of any ship, maritime facility, maritime facility, offshore installation, pipeline, oil transfer site, navigational aid, or marine farm; (c) any maritime-related activities undertaken on behalf of Council; (d) in respect of navigation generally, including fees charged under the Navigation Safety Bylaw 2014.	s33R, MTA
Auckland Transport may on behalf of Council receive notice of any discharge or	s227, MTA

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11

escape of a harmful substance in breach of section 226 of the Maritime Transport Act 1994 or of section 15B of the Resource Management Act 1991.	
Auckland Transport may on behalf of Council receive notice of any pollution incidents occurring within the region.	s228, MTA
Auckland Transport may on behalf of Council receive notice of the prospective arrival of any ship carrying oil or noxious liquid substance.	s229, MTA
Auckland Transport may on behalf of Council receive notice of transfer of oil or noxious liquid substances to or from ships.	s230, MTA
Auckland Transport shall on behalf of Council inform the Director of Maritime New Zealand of the matters about which it has been notified under sections 227, 228, 229, 230 and 299 of the Maritime Transport Act 1994.	s231, MTA
Auckland Transport shall on behalf of Council review the regional marine oil spill contingency plan and submit a draft regional marine oil spill contingency plan to the Director of Maritime New Zealand for his or her approval, not less frequently than every 3 years after its preparation, or its most recent review under this section, as the case may be.	s290, MTA
Auckland Transport shall on behalf of Council in preparing a draft marine oil spill contingency plan ensure that— (a) the draft plan is consistent with the New Zealand marine oil spill response strategy and the national marine oil spill contingency plan; and (b) the draft plan complies with any relevant requirements of the marine protection rules.	s291, MTA
Auckland Transport shall on behalf of Council forthwith upon being required by the Director of Maritime New Zealand to do so, include in, or omit from, the draft regional marine oil spill contingency plan submitted to the Director of Maritime New Zealand under section 289 or section 290 of the Maritime Transport Act 1994 such provisions as the Director of Maritime New Zealand may specify.	s292, MTA
Auckland Transport may on behalf of Council amend a regional marine oil spill contingency plan if the amendment is approved in writing by the Director of Maritime New Zealand.	s293, MTA
Where a regional marine oil spill contingency plan is prepared by the Director of Maritime New Zealand under section 295, Auckland Transport shall on behalf of the Council meet out of AT resources the costs of the Director of Maritime New Zealand in preparing the regional marine oil spill contingency plan.	s295, MTA
Auckland Transport may on behalf of Council receive notice where an oil spill cannot be contained and cleaned up with the resources available.	s299, MTA
Auckland Transport shall on behalf of the Council comply with any directions from a National On-Scene Commander in relation to a marine oil spill within the Region.	s302, MTA

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12



Auckland Transport shall on behalf of the Council comply with any directions from the Minister of the Crown responsible for administration of the Maritime Transport Act 1994 in respect of the performance of any functions or duties or the exercise of any powers under Part 23 of the Maritime Transport Act 1994.	s310, MTA
Auckland Transport may on behalf of Council receive from the New Zealand Oil Pollution Fund: (a) the reasonable costs incurred by Auckland Transport on behalf of the Council (including the costs of the regional on-scene commander) in investigating a suspected marine oil spill and in controlling, dispersing, and cleaning up any marine oil spill; (b) the reasonable costs incurred by Auckland Transport on behalf of the Council in — (i) the performance of the other functions and duties and the exercise of the powers under Part 23; and (ii) taking steps to avoid marine oil spills	s331, MTA
Auckland Transport shall on behalf of the Council submit from time to time an expenditure budget to Maritime New Zealand in relation to the reasonable costs to be incurred by Auckland Transport on behalf of the Council in — (i) the performance of the other functions and duties and the exercise of the powers under Part 23; and (ii) taking steps to avoid marine oil spills.	s332, MTA
Auckland Transport may on behalf of Council as a marine agency recover from the owner of a ship the cost, including goods and services tax (if any), reasonably incurred by Auckland Transport on behalf of Council as a marine agency in dealing with— (a) a harmful substance that is discharged or escapes, or any waste or other matter that is dumped, from that ship into the internal waters of New Zealand or into New Zealand marine waters or on to the beds below those internal or marine waters; or (b) a harmful substance, if that harmful substance poses a grave and imminent threat of being discharged or escaping from that ship into the internal waters of New Zealand or into New Zealand marine waters or on to the beds below those internal or marine waters	s344, MTA
Auckland Transport may on behalf of Council as a marine agency recover from the owner of a ship the cost, including goods and services tax (if any), reasonably incurred by Auckland Transport on behalf of Council as a marine agency for any reasonable preventive measures taken to eliminate or reduce a grave and imminent threat that a harmful substance may be discharged or escape from that ship into the internal waters of New Zealand or into New Zealand marine waters or on to the beds below those internal or marine waters.	s345, MTA
Auckland Transport may on behalf of Council as a marine agency recover from the person in charge of a marine operation or the owner of a marine structure the cost, including goods and services tax (if any), reasonably incurred by Auckland	s385B, MTA

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13

Transport on behalf of Council as a marine agency in dealing with— (a) a harmful substance that is discharged or escapes, or any waste or other matter that is dumped, from that marine operation or marine structure into the internal waters of New Zealand or into New Zealand continental waters or on to the beds below those internal or continental waters; or (b) a harmful substance, if that harmful substance poses a grave and imminent threat of being discharged or escaping from that marine operation or marine structure into the internal waters of New Zealand or into New Zealand continental waters or on to the beds below those internal or continental waters.	
Auckland Transport may on behalf of Council as a marine agency recover from the owner of a marine structure or the person in charge of a marine operation the cost, including goods and services tax (if any), reasonably incurred by Auckland Transport on behalf of Council as a marine agency for any reasonable preventive measures taken to eliminate or reduce a grave and imminent threat that a harmful substance may be discharged or escape from that ship into the internal waters of New Zealand or into New Zealand marine waters or on to the beds below those internal or marine waters.	s385C, MTA
Navigation Safety Bylaw 2014 Auckland Transport may on behalf of the Council exercise all powers, duties and functions of the Council under the Navigation Safety Bylaw 2014.	
Local Government Act 2002 ("LGA")	Relevant Provision
If the Council is authorised by a bylaw to do so, Auckland Transport may on behalf of Council: (a) remove or alter a work or thing that is, or has been, constructed in breach of a bylaw; and (b) recover the costs of removal of alternation from the person who committed the breach.	s163, LGA
Auckland Transport may on behalf of Council dispose of property seized and impounded under section 164 of the Local Government Act 2002 that has not been returned within 6 months after it was seized or impounded.	s168, LGA
For the purposes of doing anything that Auckland Transport can do on behalf of the Council under the Local Government Act 2002 or the Maritime Transport Act 1994, Auckland Transport may on behalf of Council enter occupied land or buildings in cases of emergency if— (a) there is a sudden emergency causing or likely to cause— (i) loss of life or injury to a person; or (ii) damage to property; or (iii) damage to the environment; or (b) there is danger to any works or adjoining property	s173, LGA

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14



Schedule 2 – Retained Functions

Maritime Transport Act 1994	Relevant Provision
Power to appoint a harbourmaster for any port, harbour, or waters in the region	s33D, MTA (Part 3A)
Power to appoint enforcement officers, honorary enforcement officers and other authorised persons	s33G, MTA
All functions, duties and powers associated with making a navigation bylaw	s33M, MTA
Power to prescribe fees and charges in respect of: (a) property owned and operated for maritime-related purposes; (b) any function, duty, power, or service performed in respect of any ship, maritime facility, maritime facility, offshore installation, pipeline, oil transfer site, navigational aid, or marine farm; (c) any maritime-related activities undertaken; (d) in respect of navigation generally.	s33R, MTA
Power to transfer responsibilities to a council-controlled organisation or a port operator	s33X, MTA
Power to appoint regional on-scene commanders for the region	s318, MTA
Resource Management Act 1991	Relevant Provision
Power to appoint enforcement officers	s38, RMA
Local Government Act 2002	Relevant Provision
Power to appoint enforcement officers	s177, LGA

AUCKLAND COUNCIL DELEGATION TO AUCKLAND TRANSPORT IN RELATION TO OFF-STREET PARKING

Governing Body
25 June 2015



Attachment B: June 2015 Off-street Parking Delegation Terms

Auckland Council delegates A to Auckland Transport, excluding the prohibitions in B and subject to the conditions in C:

A. Delegations

1. All responsibilities, duties and powers relating to the management and control of off-street parking facilities owned by the Council including beaches and other public places managed or controlled by Council.

2. For the avoidance of doubt this includes delegated authority:

- in relation to all regulatory and enforcement decisions;
- in relation to the Council's functions and powers under all legislation and bylaws (including bylaws made by legacy Auckland local authorities) relating to off-street parking;
- to establish, modify and/or remove off-street parking restrictions and charges;
- in respect of any ancillary responsibilities, duties or powers necessary to give effect to this delegation;

B. Prohibitions

This delegation excludes:

- power to establish new, or extend any existing, Council owned off-street parking facilities;

C. Conditions

These delegations are given subject to the following conditions:

- all functions, powers and responsibilities must be exercised in accordance with relevant statutory and bylaw provisions;
- without limiting condition 1, where a decision must be made by resolution (for example where a bylaw or legislation requires it), the decision must be made by the Board of Auckland Transport or by its Traffic Control Committee;
- the exercise of the delegated powers must be in accordance with
 - Policies 2A and 2B contained in the 2015 Auckland Transport Parking Strategy (as approved at the same meeting as the Governing Body makes these delegations)
 - any council regulatory plan
- in the case of off-street parking in Council local and regional parks, Council library carparks, associated with Council community facilities and beaches or public places controlled or managed by Council (and if there is any doubt about the categorisation of a particular carpark these terms apply):
 - the carparks, beaches and public places where parking restrictions are to apply will be specified by the appropriate manager, being
 - General Manager Licensing & Compliance Services for beaches and off-street parking associated with community facilities,
 - Manager, Parks, Sport and Recreation for off-street parking in council regional and local parks
 - Manager Libraries and Information for library carparks

Governing Body
25 June 2015



- and advised to Auckland Transport;
- the views and preferences of local boards must be taken into account.
- Auckland Transport must provide a biannual report to the appropriate Council Manager in relation to the use of these delegated powers in any particular carparks (when requested to do so).

- Auckland Transport will bear all costs; except for the costs of establishing parking restrictions including signage in Council local and regional parks, Council library carparks, and Council community facilities, which will be met by Council. Auckland Transport may retain the revenue associated with exercising this delegated authority. However, if a surplus is generated from this activity then Auckland Transport must use the surplus to offset its annual total funding requirement from Auckland Council.

Item 19

Attachment B



DELEGATIONS IN RELATION TO AUCKLAND JOINT MODELLING CENTRE (JMAC) (commonly referred to as Auckland Forecasting Centre (AFC))

ATD 2019/06

Chief Executive's delegation in relation to Joint Modelling Application Centre (JMAC)

PURSUANT TO section 54 of the Local Government (Auckland Council) Act 2009 –

I, Shane Ellison, Chief Executive of Auckland Transport, hereby make the delegations set out below in relation to the Auckland Joint Modelling Application Centre ("JMAC") (commonly known as the Auckland Forecasting Centre). These delegations are made with reference to the Partnering Agreement for the Operation of JMAC ("JMAC Partnering Agreement") entered into by Auckland Transport on 3 October 2014.

1. I nominate the Executive General Manager Planning and Investment of Auckland Transport to act as my representative to attend and contribute to JMAC Board meetings on my behalf.
2. I delegate to the Executive General Manager Planning and Investment of Auckland Transport all powers, functions and duties that I hold in my capacity as a JMAC Board member, including, but not limited to, the following:
 - a. governing the activities of JMAC so as to achieve the goals of JMAC;
 - b. approving the JMAC Annual Business Plan on behalf of Auckland Transport;
 - c. approving the JMAC Annual Budget on behalf of Auckland Transport (including ensuring Auckland Transport's approval of its respective contribution to the JMAC Annual Budget);
 - d. approving the provision of additional non-financial inputs to JMAC on behalf of Auckland Transport;
 - e. managing any disagreement in relation to the JMAC Partnering Agreement on behalf of Auckland Transport.
3. This delegation excludes any power to terminate the JMAC Partnering Agreement on behalf of Auckland Transport.
4. The powers, functions and duties delegated under this instrument may not be sub-delegated.
5. For the avoidance of doubt, in exercising any of the powers, functions or duties delegated under this instrument, the Executive General Manager Planning and Investment is subject to any financial delegations applying to that role at the time.

THIS DELEGATION INSTRUMENT TAKES EFFECT on the date it is signed and continues in effect until revoked in writing by me (or any subsequent chief executive of Auckland Transport).

All previous delegations of the same powers, duties and functions covered by this delegation instrument are revoked from the date that this delegation instrument takes effect. For the avoidance of doubt, this delegation instrument revokes ATDI (CE) 2018/02.

Signed:

Shane Ellison
Chief Executive
Auckland Transport

Date:

21/8/19